

FY 2021

**SUPPLEMENTAL
APPROPRIATIONS**

RECOMMENDATIONS

HOUSE BILL 15

**FY 2021 SUPPLEMENTAL APPROPRIATIONS
GOVERNOR RECOMMENDATIONS
HOUSE BILL 15
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SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education
Office of Special Education
Foundation - Early Childhood Special Education DI# 2500001

House Bill Section 15.005

Original FY 2021 House Bill Section, if applicable 2.015

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	6,425,864	0	0	6,425,864
TRF	0	0	0	0
Total	6,425,864	0	0	6,425,864

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	6,425,864	0	0	6,425,864
TRF	0	0	0	0
Total	6,425,864	0	0	6,425,864

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The supplemental funding is needed because of shortfall in funding for Early Childhood Special Education (ECSE) reimbursement from FY20 and an anticipated shortfall in funding for FY21 ECSE reimbursement. The ECSE program provides individualized instruction and therapy services to preschool aged children with disabilities. Special education and related services for preschool age children with disabilities are mandated under state statute and provided in accordance with the Individuals with Disabilities Education Act (IDEA). IDEA requires a state that receives funding under the Act to assure a Free and Appropriate Public Education (FAPE) to children with disabilities ages 3-21. Children with disabilities aged three and four are provided FAPE and special education services through the Missouri ECSE Program. Pursuant to Section 162.700, RSMo, ECSE services are mandatory and program costs associated with these services are paid through state and federal appropriated funds. No local funds support this program due to a Missouri Supreme Court decision (Rolla 31 School District, et al. vs. State of Missouri, 1992). Expenditures for the ECSE program include special education instruction from teachers and aides, therapy and other related services, administration, support services, purchased services, transportation, professional development, supplies, equipment, assessments and testing materials, and capital outlay. Local school districts complete a Final Expenditure Report (FER) to seek reimbursement for ECSE program expenses the year following in which services were provided.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education

House Bill Section 15.005

Office of Special Education

Foundation - Early Childhood Special Education DI# 2500001

Original FY 2021 House Bill Section, if applicable 2.015

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.

Supplemental Request:

FY 20 Available Funding (all sources)	\$	232,306,529
Less: FY 19 Shortfall (payment in FY20)	\$	(5,976,004)
Less: FY 20 District Reimbursement Requested Amount	\$	(232,756,389)
FY 20 Shortfall (This amount was paid from FY 21 appropriation.)	\$	(6,425,864)

DESE is requesting a supplemental amount for the FY 21 appropriation to cover the FY 20 shortfall. DESE is not asking for any other amounts to offset increases to the program. If there are increases that are not covered by the current appropriation and the supplemental request, DESE will ask for a supplemental in the FY 23 budget request (FY 22 supplemental).

Fiscal Year	Number of Students	% Increase	Total Reimbursement Requested	% Increase
FY 16	17,922	5.40%	\$ 195,114,165	8.20%
FY 17	18,569	3.60%	\$ 202,641,924	3.90%
FY 18	19,204	3.40%	\$ 213,622,395	5.42%
FY 19	19,261	0.30%	\$ 224,719,647	5.19%
FY 20	19,531	1.00%	\$ 232,756,389	0.72%
FY 21 Est	19,726	1.00%	\$ 232,756,417	0.00%

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education		House Bill Section	15.005
Office of Special Education			
Foundation - Early Childhood Special Education	DI# 2500001	Original FY 2021 House Bill Section, if applicable	2.015

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions	6,425,864						6,425,864	
Total PSD	<u>6,425,864</u>		<u>0</u>		<u>0</u>		<u>6,425,864</u>	
Grand Total	6,425,864	0.0	0	0.0	0	0.0	6,425,864	0.0

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions	6,425,864						6,425,864	
Total PSD	<u>6,425,864</u>		<u>0</u>		<u>0</u>		<u>6,425,864</u>	
Grand Total	6,425,864	0.0	0	0.0	0	0.0	6,425,864	0.0

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure of the program.

ECSE Students Served	FY18	FY19	FY20	FY21 Proj	FY22 Proj	FY23 Proj
Total Children Served in the ECSE Program	19,204	19,261	19,531	19,726	19,924	20,123

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education
Office of Special Education
Foundation - Early Childhood Special Education DI# 2500001

House Bill Section 15.005

Original FY 2021 House Bill Section, if applicable 2.015

5b. Provide a measure of the program's quality.

Parent Survey Results	FY18	FY19	FY20	FY21 Proj	FY22 Proj	FY23 Proj
Percent of parents with a pre-school child receiving special education services who report that schools facilitated parent involvement as a means of improving services and results for children with disabilities.	83.3%	79.3%	84.3%	84.5%	84.8%	85.1%

Reductions made to Final Expenditure Reports (FER) during Review Process	FY19 (2017-18 Services) Reduction Amount	FY20 (2019-20 Services) Reduction Amount
Reductions made to Salaries/Benefits for unallowable costs	\$ 912,054	\$ 828,080
Reductions made to Professional Development for unallowable costs	\$ 1,578	\$ 459
Reductions made to Purchase Services for unallowable costs	\$ 44,507	\$ 1,289
Reductions made to Supplies for unallowable costs	\$ 37,416	\$ 1,523
Reductions made to Transportation for unallowable costs	\$ 6,056	\$ 5,042
	\$ 1,001,611	\$ 836,392

5c. Provide a measure of the program's impact.

Early Childhood Special Education Outcome Data	FY18	FY19	FY20	FY21 Proj	FY22 Proj	FY23 Proj
Percent of children with skills below age expectation when they entered ECSE who had substantially increased their acquisition and use of knowledge and skills at the time of exiting ECSE.	96.0%	96.9%	96.9%	97.0%	97.2%	97.6%
National Mean Score of All States for this Outcome	81%	81%	81%	81%	81%	81%

NOTE: Scores for child outcomes are determined with an entry/exit measurement tool. States use a variety of approaches and tools for measuring child outcomes.

NOTE: National mean score for FY19 and beyond is a projection. National data will not be available until December 2020.

DEFINITION OF SUBSTANTIAL INCREASE: The percent of children who improved functioning to a level nearer to or comparable to same-aged peers.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education
Office of Special Education
Foundation - Early Childhood Special Education DI# 2500001

House Bill Section 15.005

Original FY 2021 House Bill Section, if applicable 2.015

5d. Provide a measure of the program's efficiency.

Final Expenditure Report Review

Amount of	FY18	FY19	FY20
Number of FERs Reviewed within 60 Days of Due Date	395	347	340
Number of FERs Reviewed > 60 Days of Due Date	1	13	7
Total Number of FERs Reviewed	396	360	347

NOTE: This chart indicates the amount of time it takes to review ECSE FERs. Reviews completed outside of the 60 day timeframe are due to the delay in receiving additional supporting documentation from districts. Typically, 50% of FERs are disapproved after the initial review for corrections, justifications, and/or additional documentation.

GOAL: Review 95% of all ECSE FERs within 60 days of due date.

Outcome of Goal	FY18	FY19	FY20
Number of FERs Reviewed within 60 Day Goal	395	347	340
Goal	95%	95%	95%
% of FERs Reviewed within 60 Day Goal	100%	96%	98%
Outcome of Goal	MET	MET	MET

NOTE: Reviews completed outside of the 60 day timeframe are due to the delay in receiving additional supporting documentation from districts. Section also had a staff vacancy during FY19 review period.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

The Department will continue to obtain all of the information necessary to efficiently and effectively calculate payments due to eligible districts. The Department will also continue to review applications for accuracy and audit the program.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education		House Bill Section	15.010
Office of Adult Learning and Rehabilitation Services			
Federal Capacity Increase for DD Counselor Salaries	DI# 2500005	Original FY 2021 House Bill Section, if applicable	2.090

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				FY 2021 Supplemental Governor's Recommendation					
	GR	Federal	Other	Total	GR	Federal	Other	Total	
PS	0	0	0	0	PS	0	1,519,992	0	1,519,992
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	0	0	Total	0	1,519,992	0	1,519,992
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0	POSITIONS	0	0	0	0
NUMBER OF MONTHS POSITIONS ARE NEEDED:					NUMBER OF MONTHS POSITIONS ARE NEEDED:				
<i>Est. Fringe</i>	0	0	0	0	<i>Est. Fringe</i>	0	502,205	0	502,205
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>									

*Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Disability Determinations (DD) program will require additional federal Personal Services (PS) capacity as a result of the economic effects of the COVID-19 health emergency. The Social Security Administration (SSA) Regional Office estimates the significant rise in disability claims will require 32 additional DD staff to process the demand within the five DD district offices throughout the state. Funding to support this need is available 100% through federal SSA monies and federal capacity is needed to expend the funds for this purpose. The SSA has provided the necessary approval to hire these vacant positions due to the current need. DD is not requesting additional FTE as there are current vacancies in this program due to the need to hire in groups to accommodate training. The program statutory authority for the Disability Determinations Section: Social Security Act, State Rule 5 CSR 90-50.010 prescribes standards for implementation in the Code of Federal Regulations, Title 20, Chapter III.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education	House Bill Section	15.010
Office of Adult Learning and Rehabilitation Services		
Federal Capacity Increase for DD Counselor Salaries	DI# 2500005	Original FY 2021 House Bill Section, if applicable

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.

Amount of the increased federal capacity (Vocational Rehabilitation Fund) requested is based on:

DD Assistant District Supervisor (3)	\$ 165,816
DD Counselor II (27)	\$1,240,272
DD Hearing Officer (2)	\$ 113,904
Total (32):	\$1,519,992

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Personal Services								
DD Asst Dist. Sup. (003333)			165,816				165,816	
DD Counselor II (003344)			1,240,272				1,240,272	0.0
DD Hearing Officer (003339)			113,904				113,904	0.0
Total PS	0	0.0	1,519,992	0.0	0	0.0	1,519,992	0.0
Grand Total	0	0.0	1,519,992	0.0	0	0.0	1,519,992	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education
 Office of Adult Learning and Rehabilitation Services
 Federal Capacity Increase for DD Counselor Salaries

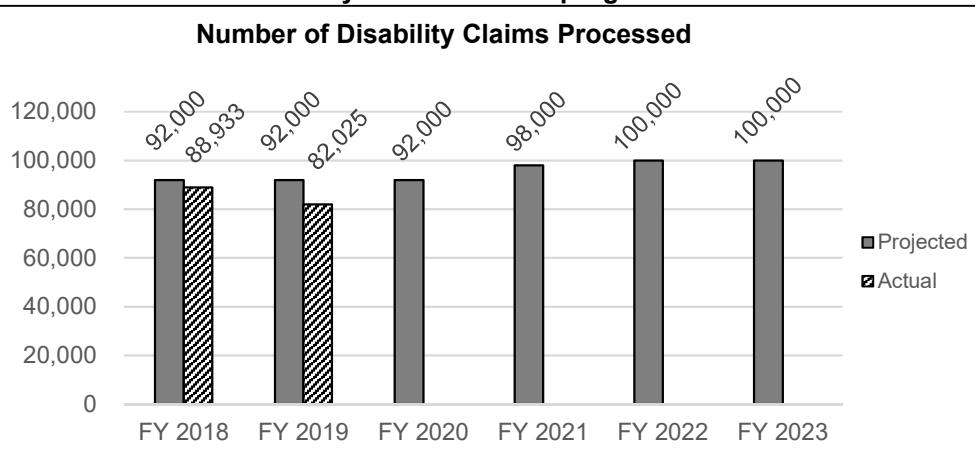
House Bill Section 15.010

DI# 2500005

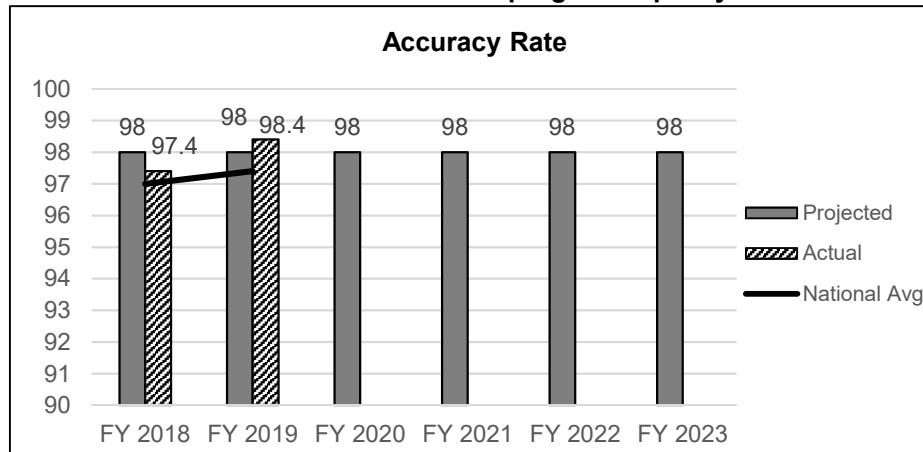
Original FY 2021 House Bill Section, if applicable 2.090

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

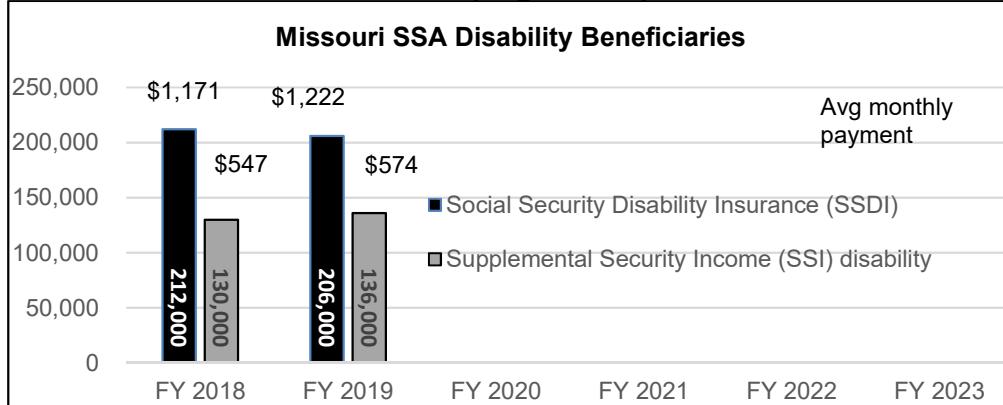
5a. Provide an activity measure of the program.



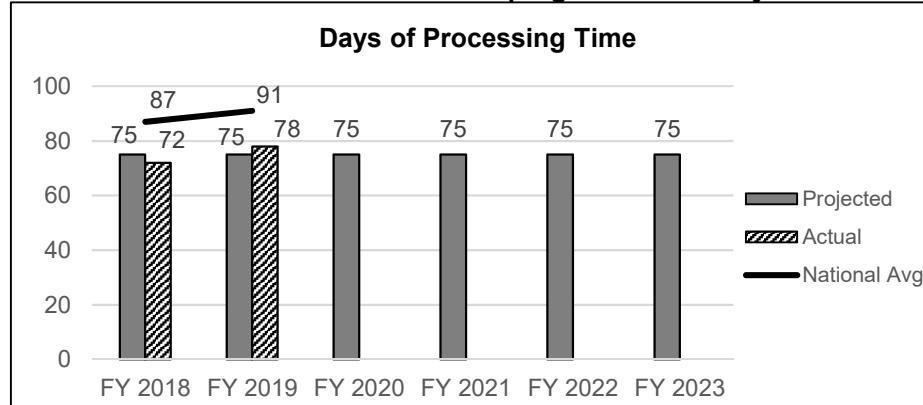
5b. Provide a measure of the program's quality.



5c. Provide a measure of the program's impact.



5d. Provide a measure of the program's efficiency.



NOTE: FY 2020 Actuals will be available December, 2020.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education	House Bill Section	15.010
Office of Adult Learning and Rehabilitation Services		
Federal Capacity Increase for DD Counselor Salaries	DI# 2500005	Original FY 2021 House Bill Section, if applicable

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Provide the leadership, guidance, and oversight to implement the new responsibilities for the DDS staff in supporting individuals with disabilities. Ensure the necessary training and support services to allow DDS staff to make quality and timely decisions on SSA's behalf regarding benefits on the disability programs. Support the effective utilization of existing and new processes and technologies to facilitate service delivery for youth and adults with disabilities. Coordinate with SSA Regional and Central Offices to ensure workforce is properly staffed and trained to make the right decision to support services for individuals with disabilities.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education		House Bill Section	15.015
Office of Quality Schools			
Charter School Closure Refund	DI# 2500006	Original FY 2021 House Bill Section, if applicable	N/A

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	16,000	0	0	16,000
TRF	0	0	0	0
Total	16,000	0	0	16,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Under the Charter School Act, Section 160.400-.425, RSMo, DESE gave the Carondolet Leadership Academy public funds to use to educate students. However, Carondolet Leadership Academy has closed and is not currently using the funds. Section 160.405.1(17), RSMo, requires the Carondolet Leadership Academy to return all of its remaining funds to the Department of Elementary and Secondary Education (DESE) to deposit into the State Treasury to the credit of the State or to be disbursed to the School District or Charter Schools in the area of the closed Charter School.

To disburse the funds, DESE needs the above requested appropriation capacity.

SUPPLEMENTAL NEW DECISION ITEM

Department of Elementary and Secondary Education		House Bill Section	15.015
Office of Quality Schools			
Charter School Closure Refund	DI# 2500006	Original FY 2021 House Bill Section, if applicable	N/A

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Carondelet Leadership Academy will be returning an estimated \$16,000 to the State. These funds will be distributed according to the percentage of Weighted Average Daily Attendance (WADA) to the St. Louis Public School District and St. Louis Area Charter Schools that were in operation in the year in which Carondelet Leadership Academy closed. This is a non-count appropriation.

Below is the breakdown of the St. Louis Public School District and St. Louis Area Charter Schools that will receive the Charter School Closure Refund. The funds will be distributed according to each school's percentage of Weighted Average Daily Attendance (WADA).

St. Louis Public School District	Eagle College Prep Endeavor
Lift for Life Academy	Lafayette Preparatory Academy
Premier Charter School	Hawthorne Leadership School for Girls
Confluence Academies	The Biome
City Garden Montessori	La Salle Charter School
St. Louis Language Immersion School	The Arch Community School
North Side Community School	Kairos Academies
Kipp St. Louis Public Schools	The Soulard School

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Refunds	16,000						16,000	
Total PSD	16,000		0		0		16,000	
Grand Total	16,000	0.0	0	0.0	0	0.0	16,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development

Proprietary School Certification Program

Proprietary School Bond Fund Transfer DI# 2555001

House Bill Section 15.020

Original FY 2021 House Bill Section, if applicable N/A

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	152,841	152,841
Total	0	0	152,841	152,841

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Proprietary School Bond Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	152,841	152,841
Total	0	0	152,841	152,841

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Proprietary School Bond Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

This is a one-time transfer request from the Proprietary School Bond Fund (PSBF) to the Proprietary School Certification Fund (PSCF). Section 173.612, RSMo, requires each proprietary school to file a security deposit with DHEWD to cover the school and its agents in order to indemnify any individual who suffers loss or damage because of certain actions of the school. The cash is drawn down into the PSBF when a school closes without following certain guidelines and is used to compensate student losses. Section 173.604, RSMo, states that failure to file student transcripts with an approved repository upon closure results in forfeiture of the balance of the security deposit. This has resulted in an unobligated fund balance in the bond fund. Current appropriation language does not include a means to transfer remaining monies in the PSBF to the PSCF which is needed to allow unobligated bond funds to be used as authorized by statute.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development	House Bill Section	15.020
Proprietary School Certification Program		
Proprietary School Bond Fund Transfer	DI# 2555001	Original FY 2021 House Bill Section, if applicable

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

The amount requested is the unobligated cash balance of the Proprietary School Bond Fund. The cash balance of the fund is tied to proprietary school closures for which all obligations have been met.

This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Transfers					152,841		152,841	
Total TRF	0		0		152,841		152,841	
Grand Total	0	0.0	0	0.0	152,841	0.0	152,841	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Transfers					152,841		152,841	
Total TRF	0		0		152,841		152,841	
Grand Total	0	0.0	0	0.0	152,841	0.0	152,841	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development		House Bill Section	15.025
Division of Missouri Grants and Scholarships			
A+ Schools Program Transfer	DI# 2555009	Original FY 2021 House Bill Section, if applicable	3.060

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	6,200,000	0	0	6,200,000
Total	6,200,000	0	0	6,200,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	5,191,632	5,191,632
Total	0	0	5,191,632	5,191,632

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Lottery Proceeds Fund

*\$1,173,399 will be released from reserves to partially fund the request.

**The difference between the Governor recommended amount and the department request is due to more recent projections.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The A+ Scholarship, authorized by Section 160.545, RSMo, provides tuition and fee reimbursement to eligible graduates of designated high schools to attend public community colleges, public vocational or technical schools, or private two-year vocational or technical schools that meet the criteria outlined in the authorizing statute.

This request is necessary to sufficiently fund the estimated increase in program costs in the A+ Scholarship Program in FY 2021 resulting from tuition and participant increases.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development		House Bill Section	15.025
Division of Missouri Grants and Scholarships			
A+ Schools Program Transfer	DI# 2555009	Original FY 2021 House Bill Section, if applicable	3.060

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

DHEWD's original request was for \$6.2M. Using summer and fall actual expenditures this request has increased to \$11,328,479 needed to fully fund the program in the spring semester. This amount is partially offset by a \$1,173,399 release in reserves and \$4,963,447 in Coronavirus Relief Funds to pay for increased summer/fall enrollment. Updated projections are shown below.

Original Need Projection

13,628	Students
3,368	Award
<hr/> 45,899,104	Need

Updated Need using FY21 Summer/Fall Actuals

3,207,786	FY 2021 Summer Payments
26,527,889	FY 2021 Projected Fall Payments
<hr/> 21,532,730	FY 2021 Projected Spring Payments (assumes approx. same fall/spring ratio as FY 2020)
<hr/> 51,268,405	FY 2021 Projected Need
 16,930,262	GR-available after reserves
21,009,665	Lottery-available after reserves
4,963,447	Federal Coronavirus Relief Fund
<hr/> 2,000,000	Missouri Higher Education Loan Authority
<hr/> 44,903,374	Available Resources
 6,365,031	Supplemental Need
523,616	GR Reserve
649,783	Lottery Reserve
5,191,632	Supplemental Request after Release

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development		House Bill Section	15.025
Division of Missouri Grants and Scholarships			
A+ Schools Program Transfer	DI# 2555009	Original FY 2021 House Bill Section, if applicable	3.060

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR	Dept Req GR	Dept Req FED	Dept Req FED	Dept Req OTHER	Dept Req OTHER	Dept Req TOTAL	Dept Req TOTAL
	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE
Transfers								
Total TRF	6,200,000						6,200,000	
	<hr/>		<hr/>		<hr/>		<hr/>	
Grand Total	<hr/> 6,200,000	<hr/> 0.0	<hr/> 0	<hr/> 0.0	<hr/> 0	<hr/> 0.0	<hr/> 6,200,000	<hr/> 0.0
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>
Budget Object Class/Job Class	Gov Rec GR	Gov Rec GR	Gov Rec FED	Gov Rec FED	Gov Rec OTHER	Gov Rec OTHER	Gov Rec TOTAL	Gov Rec TOTAL
	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE
Transfers								
Total TRF					5,191,632		5,191,632	
	<hr/>		<hr/>		<hr/>		<hr/>	
Grand Total	<hr/> 0	<hr/> 0.0	<hr/> 0	<hr/> 0.0	<hr/> 5,191,632	<hr/> 0.0	<hr/> 5,191,632	<hr/> 0.0
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>

SUPPLEMENTAL NEW DECISION ITEM																						
Department of Higher Education and Workforce Development	House Bill Section 15.025																					
Division of Missouri Grants and Scholarships	Original FY 2021 House Bill Section, if applicable																					
A+ Schools Program Transfer	DI# 2555009																					
5. PERFORMANCE MEASURES (if new decision item has an associated core, separately identify projected performance with & without additional funding.)																						
<p>5a. Provide an activity measure of the program.</p> <p>The chart displays projected and actual average reimbursement amounts for each fiscal year. The Y-axis represents the amount in dollars, ranging from 0 to 4000. The X-axis lists the fiscal years: FY 2018, FY 2019, FY 2020, FY 2021, FY 2022, and FY 2023. The legend indicates four data series: NDI (white bars), Supplemental (diagonal hatching), Actual (black bars), and Projected (solid grey bars). The projected values show a general upward trend, starting at \$2836 in FY 2018 and reaching approximately \$3535 by FY 2023. Actual values are consistently higher than projected values for most years.</p> <table border="1"> <thead> <tr> <th>Fiscal Year</th> <th>Actual</th> <th>Projected</th> </tr> </thead> <tbody> <tr><td>FY 2018</td><td>2836</td><td>2870</td></tr> <tr><td>FY 2019</td><td>2988</td><td>3177</td></tr> <tr><td>FY 2020</td><td>3368</td><td>3570</td></tr> <tr><td>FY 2021</td><td>2930</td><td>3535</td></tr> <tr><td>FY 2022</td><td>2900</td><td>3535</td></tr> <tr><td>FY 2023</td><td>3000</td><td>3535</td></tr> </tbody> </table>		Fiscal Year	Actual	Projected	FY 2018	2836	2870	FY 2019	2988	3177	FY 2020	3368	3570	FY 2021	2930	3535	FY 2022	2900	3535	FY 2023	3000	3535
Fiscal Year	Actual	Projected																				
FY 2018	2836	2870																				
FY 2019	2988	3177																				
FY 2020	3368	3570																				
FY 2021	2930	3535																				
FY 2022	2900	3535																				
FY 2023	3000	3535																				

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development
Division of Missouri Grants and Scholarships
A+ Schools Program Spending Authority **DI# 2555010**

House Bill Section 15.030

Original FY 2021 House Bill Section, if applicable 3.065

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	3,300,000	3,300,000
TRF	0	0	0	0
Total	0	0	3,300,000	3,300,000

FTE **0.00** **0.00** **0.00** **0.00**
POSITIONS **0** **0** **0** **0**

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe **0** **0** **0** **0**

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: A+ Schools Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	5,191,632	5,191,632
TRF	0	0	0	0
Total	0	0	5,191,632	5,191,632

FTE **0.00** **0.00** **0.00** **0.00**
POSITIONS **0** **0** **0** **0**

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe **0** **0** **0** **0**

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: A+ Schools Fund

*The difference between the Governor recommended amount and the department request is due to more recent projections.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The A+ Schools Program, authorized by Section 160.545, RSMo, provides tuition and fee reimbursement to eligible graduates of designated high schools to attend public community colleges, public vocational or technical schools, or private two-year vocational or technical schools that meet the criteria outlined in the authorizing statute.

This request is necessary to sufficiently fund the estimated increase in program costs in the A+ Scholarship Program in FY 2021 resulting from tuition and participant increases.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development		House Bill Section	15.030
Division of Missouri Grants and Scholarships			
A+ Schools Program Spending Authority	DI# 2555010	Original FY 2021 House Bill Section, if applicable	3.065

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.)

DHEWD's original request was for \$3.3M. The Governor recommended amount is the non-count spending authority that coincides with the Governor's recommended transfer increase.

This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions					3,300,000		3,300,000	
Total PSD	0		0		3,300,000		3,300,000	
Grand Total	0	0.0	0	0.0	3,300,000	0.0	3,300,000	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions					5,191,632		5,191,632	
Total PSD	0		0		5,191,632		5,191,632	
Grand Total	0	0.0	0	0.0	5,191,632	0.0	5,191,632	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development
Division of Four-Year Universities
Tax Refund Offset - University of Central Missouri **DI# 2555002**

House Bill Section 15.035

Original FY 2021 House Bill Section, if applicable 3.210

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	25,000	25,000
TRF	0	0	0	0
Total	0	0	25,000	25,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	25,000	25,000
TRF	0	0	0	0
Total	0	0	25,000	25,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Per Sections 143.781-143.786, RSMo, income tax refunds may be set off against a debt owed by a state taxpayer. University of Central Missouri (UCM) has current appropriation authority of \$200,000 to recover unpaid debts owed to the institution by state taxpayers. Due to some delayed payments in FY 20, it is anticipated that additional appropriation authority is needed to make all available reimbursements to the institution in FY 21.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development		House Bill Section	15.035
Division of Four-Year Universities			
Tax Refund Offset - University of Central Missouri	DI# 2555002	Original FY 2021 House Bill Section, if applicable	3.210

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

DHEWD estimates an additional \$25,000 will be sufficient to cover reimbursement of the potential increase in debts owed to UCM in FY 21. This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions					25,000		25,000	
Total PSD	0		0		25,000		25,000	
Grand Total	0	0.0	0	0.0	25,000	0.0	25,000	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions					25,000		25,000	
Total PSD	0		0		25,000		25,000	
Grand Total	0	0.0	0	0.0	25,000	0.0	25,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development
Division of Four-Year Universities
Tax Refund Offset - Southeast Missouri State Univ. **DI# 2555003**

House Bill Section 15.040

Original FY 2021 House Bill Section, if applicable 3.215

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	25,000	25,000
TRF	0	0	0	0
Total	0	0	25,000	25,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	25,000	25,000
TRF	0	0	0	0
Total	0	0	25,000	25,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Per Sections 143.781-143.786, RSMo, income tax refunds may be set off against a debt owed by a state taxpayer. Southeast Missouri State University (SEMO) has current appropriation authority of \$200,000 to recover unpaid debts owed to the institution by state taxpayers. Due to some delayed payments in FY 20, it is anticipated that additional appropriation authority is needed to make all available reimbursements to the institution in FY 21.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development	House Bill Section	15.040
Division of Four-Year Universities		
Tax Refund Offset - Southeast Missouri State Univ. DI# 2555003	Original FY 2021 House Bill Section, if applicable	3.215

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

DHEWD estimates an additional \$25,000 will be sufficient to cover reimbursement of the potential increase in debts owed to SEMO in FY 21. This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions					25,000		25,000	
Total PSD	0		0		25,000		25,000	
Grand Total	0	0.0	0	0.0	25,000	0.0	25,000	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions					25,000		25,000	
Total PSD	0		0		25,000		25,000	
Grand Total	0	0.0	0	0.0	25,000	0.0	25,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development
Division of Four-Year Universities
Tax Refund Offset - Missouri State University **DI# 2555004**

House Bill Section 15.045

Original FY 2021 House Bill Section, if applicable 3.220

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	265,000	265,000
TRF	0	0	0	0
Total	0	0	265,000	265,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	265,000	265,000
TRF	0	0	0	0
Total	0	0	265,000	265,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Per Sections 143.781-143.786, RSMo, income tax refunds may be set off against a debt owed by a state taxpayer. Missouri State University (MSU) has current appropriation authority of \$350,000 to recover unpaid debts owed to the institution by state taxpayers. Due to some delayed payments in FY 20, it is anticipated that additional appropriation authority is needed to make all available reimbursements to the institution in FY 21.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development		House Bill Section	15.045
Division of Four-Year Universities			
Tax Refund Offset - Missouri State University	DI# 2555004	Original FY 2021 House Bill Section, if applicable	3.220

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

DHEWD estimates an additional \$265,000 will be sufficient to cover reimbursement of the potential increase in debts owed to MSU in FY 21. This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
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Program Distributions					265,000		265,000	
Total PSD	0		0		265,000		265,000	

Grand Total	0	0.0	0	0.0	265,000	0.0	265,000	0.0
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Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
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Program Distributions					265,000		265,000	
Total PSD	0		0		265,000		265,000	

Grand Total	0	0.0	0	0.0	265,000	0.0	265,000	0.0
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SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development
Division of Four-Year Universities
Tax Refund Offset - Northwest Missouri State Univ. **DI# 2555005**

House Bill Section 15.050

Original FY 2021 House Bill Section, if applicable 3.235

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	50,000	50,000
TRF	0	0	0	0
Total	0	0	50,000	50,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	50,000	50,000
TRF	0	0	0	0
Total	0	0	50,000	50,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Per Sections 143.781-143.786, RSMo, income tax refunds may be set off against a debt owed by a state taxpayer. Northwest Missouri State University (NWMSU) has current appropriation authority of \$200,000 to recover unpaid debts owed to the institution by state taxpayers. Due to some delayed payments in FY 20, it is anticipated that additional appropriation authority is needed to make all available reimbursements to the institution in FY 21.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development	House Bill Section	15.050
Division of Four-Year Universities		
Tax Refund Offset - Northwest Missouri State Univ. DI# 2555005	Original FY 2021 House Bill Section, if applicable	3.235

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

DHEWD estimates an additional \$50,000 will be sufficient to cover reimbursement of the potential increase in debts owed to NWMSU in FY 21. This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions					50,000		50,000	0.0
Total PSD	0		0		50,000		50,000	
Grand Total	0	0.0	0	0.0	50,000	0.0	50,000	0.0

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions					50,000		50,000	0.0
Total PSD	0		0		50,000		50,000	
Grand Total	0	0.0	0	0.0	50,000	0.0	50,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development
Division of Four-Year Universities
Tax Refund Offset - Missouri Western State Univ. **DI# 2555006**

House Bill Section 15.055

Original FY 2021 House Bill Section, if applicable 3.245

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	50,000	50,000
TRF	0	0	0	0
Total	0	0	50,000	50,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	50,000	50,000
TRF	0	0	0	0
Total	0	0	50,000	50,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Per Sections 143.781-143.786, RSMo, income tax refunds may be set off against a debt owed by a state taxpayer. Missouri Western State University (MWSU) has current appropriation authority of \$275,000 to recover unpaid debts owed to the institution by state taxpayers. Due to some delayed payments in FY 20, it is anticipated that additional appropriation authority is needed to make all available reimbursements to the institution in FY 21.

SUPPLEMENTAL NEW DECISION ITEM

Department of Higher Education and Workforce Development		House Bill Section	15.055
Division of Four-Year Universities			
Tax Refund Offset - Missouri Western State Univ.	DI# 2555006	Original FY 2021 House Bill Section, if applicable	3.245

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.)

DHEWD estimates an additional \$50,000 will be sufficient to cover reimbursement of the potential increase in debts owed to MWSU in FY 21. This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions					50,000		50,000	
Total PSD	0		0		50,000		50,000	
Grand Total	0	0.0	0	0.0	50,000	0.0	50,000	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions					50,000		50,000	
Total PSD	0		0		50,000		50,000	
Grand Total	0	0.0	0	0.0	50,000	0.0	50,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue
Division of Motor Vehicle and Driver Licensing
Phone-in Center **DI# 2860001**

Original FY 2021 House Bill Section, if applicable 4.005

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	85,500	0	147,312	232,812
EE	0	0	3,057	3,057
PSD	0	0	0	0
TRF	0	0	0	0
Total	85,500	0	150,369	235,869

FTE	2.00	0.00	6.00	8.00
POSITIONS	4	0	12	16
NUMBER OF MONTHS POSITIONS ARE NEEDED:				6

Est. Fringe 56,345 0 132,960 189,305

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Highways and Transportation Department Fund

FY 2021 Supplemental Governor's Recommendation				
GR	Federal	Other	Total	
85,500	0	147,312	232,812	
0	0	3,057	3,057	
0	0	0	0	
0	0	0	0	
85,500	0	150,369	235,869	

FTE	2.00	0.00	6.00	8.00
POSITIONS	4	0	12	16
NUMBER OF MONTHS POSITIONS ARE NEEDED:				6

Est. Fringe 56,345 0 132,960 189,305

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: State Highways and Transportation Department Fund

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.060
Division of Motor Vehicle and Driver Licensing			
Phone-in Center	DI# 2860001	Original FY 2021 House Bill Section, if applicable	4.005

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

To promote social distancing and reduce foot traffic in local license offices, the Department was authorized to open a temporary over-the-phone license plate renewal center. This phone center created another remote service opportunity for Missourians to renew their plates and effectively assist Missourians who may not have the ability to renew online or who are not technology savvy. This service reduces wait times and frustration sometimes experienced in license offices, and provides greater flexibility to Missourians required to renew their license plates every 1-2 years.

The current phone-in center is staffed with 20 temporary clerks and receiving very favorable feedback. Below are excerpts from testimonials received from Missouri citizens:

"...This is the best experience I have ever had renewing my tags. It was quick and seamless... I really do hope that this call center retains its ability to help Missourians...as it saves a lot of time not having to go wait in line at the DMV and I could do it at my work desk on my lunch break."

"..I was just able to renew my tags over the phone because of the availability of your call center. This saved me from having to go in to our local office. I tried to renew my tags online and had issues so this was the next best option. Please keep this as part of your options for future use. With Covid-19 upsetting our norm, this has been very helpful. Your representative was also very helpful."

"I just had the most pleasant experience of my life when renewing my plates... I went to the DOR website and found the phone renewal number. This was the best 10 minutes I have ever had dealing with the state. The process was so easy and the lovely woman I spoke to was remarkably nice and efficient. I hope that you will consider keeping this service open in the future. If someone is not good with technology, this is a great option."

"...This program that the DOR has put into action is great for people in my position and for those that work and cannot make it to the local DMV. Please continue this program. We need good ideas like this from our state."

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.060
Division of Motor Vehicle and Driver Licensing			
Phone-in Center	DI# 2860001	Original FY 2021 House Bill Section, if applicable	4.005

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.

It is difficult to estimate the number of Missourians who face difficulties in either being able to utilize the online registration renewal portal or have other difficulties making a trip to a license office unfeasible. 16 positions (15 Customer Service Rep/1 Customer Service Manager) would be able to assist approximately 109,200 citizens per year who have difficulties in renewing their registrations online or in-person. 16 positions would collect on average \$2,520 per day or \$655,200 annually. In addition, the Department requests funds for postage to mail the plates and tabs to Missourians utilizing the phone-in service and ongoing operational costs.

The Department requests 6 months funding (January-June) to transition the phone-in center as an ongoing department function. This supplemental is needed to account for the ongoing personnel costs associated with the phone-in center.

	PS	E&E (Postage)	Total
Total Request	\$232,812	\$3,057	\$235,869

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.060
Division of Motor Vehicle and Driver Licensing			
Phone-in Center	DI# 2860001	Original FY 2021 House Bill Section, if applicable	4.005

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Customer Serv. Representative-02CS20	64,086	1.5			147,312	6.0	211,398	7.5
Customer Service Manager-02CS50	21,414	0.5					21,414	0.5
Total PS	85,500	2.0	0	0.0	147,312	6.0	232,812	8.0
Supplies					3,057		3,057	
Total EE	0	0			3,057		3,057	
Grand Total	85,500	2.0	0	0.0	150,369	6.0	235,869	8.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Customer Serv. Representative-02CS20	64,086	1.5			147,312	6.0	211,398	7.5
Customer Service Manager-02CS50	21,414	0.5					21,414	0.5
Total PS	85,500	2.0	0	0.0	147,312	6.0	232,812	8.0
Supplies					3,057		3,057	
Total EE	0	0			3,057		3,057	
Grand Total	85,500	2.0	0	0.0	150,369	6.0	235,869	8.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	<u>15.065</u>
Motor Vehicle and Driver Licensing			
Emblem Use Fee Distribution	<u>DI# 2860002</u>	Original FY 2021 House Bill Section, if applicable	<u>4.050</u>

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	12,100	0	0	12,100
TRF	0	0	0	0
Total	12,100	0	0	12,100

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	14,100	0	0	14,100
TRF	0	0	0	0
Total	14,100	0	0	14,100

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*The difference between the Governor recommended amount and the department request is due to more recent projections.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Individuals requesting a specialty plate make a contribution of an emblem use authorization fee to organizations sponsoring the specialty plate. If state statute allows the individual to make the emblem use authorization fee to the Department, the Department must remit the fees to the applicable organization. The fees are deposited into General Revenue.

Section 301.3141, RSMo, requires the Director of Revenue to remit all emblem use contribution fees collected for the SOME GAVE ALL specialty license plate applications to the Veterans of Foreign Wars Department of Missouri. Section 301.3175, RSMo, requires the Director of Revenue to remit all emblem use contribution fees collected for the BACK THE BLUE specialty license plate applications to the Missouri Law Enforcement Memorial Foundation. Based upon current emblem use fee collections, the Department is requesting an increase in appropriation authority to make timely distributions. Section 301.3176 of House Bill 1963 (Fitzwater, 2020) creates the BACKSTOPPER specialty plate. The legislation authorizes the Department to collect a ten dollar contribution on behalf of The BackStoppers, Inc. The Department is requesting an appropriation increase to allow the Department to distribute contributions collected for the specialty plate.

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	<u>15.065</u>
Motor Vehicle and Driver Licensing			
Emblem Use Fee Distribution	DI# 2860002	Original FY 2021 House Bill Section, if applicable	<u>4.050</u>

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The current appropriation level is \$20,000.00. In Fiscal Year 2020, the Department collected \$24,637.50 in emblem use fees (\$1,995.00 - SOME GAVE ALL and \$22,642.50 - BACK THE BLUE). The Fiscal Year 2020 amount for the BACK THE BLUE license plate was for nine months (effective date of August 28, 2019). The projected amount of emblem use fee collections for Fiscal Year 2021:

SOME GAVE ALL plate (\$175/month x 12 months)	\$2,100
BACK THE BLUE plate (\$2,500/month x 12 months)	\$30,000
BACKSTOPPERS plate (200 applications x \$10.00 per application)	\$2,000
Less: Fiscal Year 2021 appropriation	<u>(\$20,000)</u>
Total Fiscal Year 2021 supplemental request	<u>\$14,100</u>

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions	12,100						12,100	
Total PSD	12,100		0		0		12,100	

Grand Total	12,100	0.0	0	0.0	0	0.0	12,100	0.0
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Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions	14,100						14,100	
Total PSD	14,100		0		0		14,100	
Grand Total	14,100	0.0	0	0.0	0	0.0	14,100	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue House Bill Section 15.070

Amendment 3 Transfer DI# 2860003 Original FY 2021 House Bill Section, if applicable N/A

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	408,713	0	0	408,713
Total	408,713	0	0	408,713

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	703,719	0	0	703,719
Total	703,719	0	0	703,719

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*The difference between the Governor recommended amount and the department request is due to updated expenditure calculations.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Under Article IV, Sections 29, 30(a), 30(b), and 30(c) of the Constitution of the State of Missouri (Amendment 3), the Department of Revenue (DOR) may retain highway funds to offset its highway fund collection costs. However, the same provisions limit the DOR to retaining no more than three percent of the collected funds. This decision item will transfer from General Revenue to highway funds the amount DOR holds above the three percent limit.

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.070
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Amendment 3 Transfer	DI# 2860003	Original FY 2021 House Bill Section, if applicable	N/A
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3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The Missouri Department of Transportation calculates the General Revenue transfer to the State Highways and Transportation Department Fund. Updated calculations are shown below. The Office of Administration and the Department of Revenue review MoDOT's results.

Total FY 20 DOR Highway Fund Collections	\$660,305,473
3% of FY 20 Collections	\$19,809,164
Total FY 20 DOR Highway Fund Expenditures	\$20,512,883
FY 20 Expenditures over the 3% limitation	(\$703,719)

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
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Transfers	408,713						408,713	
Total TRF	408,713		0		0		408,713	

Grand Total	408,713	0.0	0	0.0	0	0.0	408,713	0.0
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Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
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Transfers	703,719						703,719	
Total TRF	703,719		0		0		703,719	

Grand Total	703,719	0.0	0	0.0	0	0.0	703,719	0.0
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SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue
 Missouri State Lottery Commission
 Vendor Payments Increase

House Bill Section 15.075

DI# 2860010

Original FY 2021 House Bill Section, if applicable

4.175

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	293,715	293,715
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	293,715	293,715

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

<i>Est. Fringe</i>	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Lottery Enterprise Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	2,186,000	2,186,000
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	2,186,000	2,186,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

<i>Est. Fringe</i>	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Lottery Enterprise Fund

*The difference between the Governor recommended amount and the department request is due to more recent projections.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The department requests a projected \$2,000,000 in appropriation authority for increased sales-related vendor costs. This projection is based on new information including the Holiday Scratchers ticket sales and current Powerball and Mega Millions \$400 million+ jackpots.

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	<u>15.075</u>
Missouri State Lottery Commission			
Vendor Payments Increase	DI# 2860010	Original FY 2021 House Bill Section, if applicable	<u>4.175</u>

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The Lottery Commission estimates a \$2,186,000 increase in vendor costs based on the following data:

Scratchers ticket sales as of December 31, 2020 were ahead of the same period last year by \$55.9 million, which is estimated to result in \$636,000 in additional payments to Scratchers printing and distribution vendors.

Draw Games sales as of December 31, 2020 were ahead of the same period last year by \$14.5 million, which is estimated to result in \$736,000 in additional payments to the Draw Games vendor.

Powerball and Mega Millions games have \$400+ million jackpots that have increased the weekly average payment to the Draw Games vendor to \$360,000 compared to last year's weekly average payment of \$297,000. The \$63,000 increase over the remaining 25 weeks of the fiscal year is estimated to be \$1.575 million, which netted with last year's lapse in the vendor payment appropriation of \$761,000 is anticipated to result in \$814,000 needed in supplemental spending.

\$636,000 additional vendor payments for Scratchers sales to date

\$736,000 additional vendor payments for Draw Games sales to date

\$814,000 additional vendor payments for Draw Games sales January through June

\$2,186,000 Total

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue							House Bill Section	15.075
Missouri State Lottery Commission								
Vendor Payments Increase		DI# 2860010			Original FY 2021 House Bill Section, if applicable		4.175	
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.								
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Professional Services					293,715		293,715	
Total EE	0		0		293,715		293,715	
Grand Total	0	0.0	0	0.0	293,715	0.0	293,715	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Professional Services					2,186,000		2,186,000	
Total EE	0		0		2,186,000		2,186,000	
Grand Total	0	0.0	0	0.0	2,186,000	0.0	2,186,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.075
Missouri State Lottery Commission			
Vendor Payments Increase	DI# 2860010	Original FY 2021 House Bill Section, if applicable	4.175

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**5a. Provide an activity measure(s) for the program.**

- 1) Lottery Retailers - 4,600 Lottery Retailers across the state received \$88.5 million in retailer commissions and incentives in FY2020 (unaudited).
- 2) Lottery Players - \$1.0 billion paid to players in prizes in FY2020 (unaudited).
- 3) Minority and Women-owned Businesses - \$17.3 million and \$5.9 million to minority and women-owned businesses, respectively, in FY2020, for participation rates of 12.9% and 4.4% respectively.

5b. Provide a measure(s) of the program's quality.

- 1) Player Satisfaction - Increases in ticket sales reflect player satisfaction. FY2020 sales exceeded \$1.5 billion (unaudited), the highest in Missouri Lottery history.
- 2) Retailer Satisfaction - 2020 retailer satisfaction survey showed an overall satisfaction with the Lottery of 4.55 out of 5, up from 2019's rating of 4.38 and 2018's rating of 4.43.
- 3) Responsible Gaming - Missouri Lottery has achieved Level 4 certification through the World Lottery Association's responsible gaming framework, the highest certification status. Only 8 US lotteries have achieved Level 4 status. Missouri Lottery also offers a self-exclusion program for players who classify themselves as problem gamblers.
- 4) Statutory audits - Missouri Lottery is audited by the State Auditor's Office (SAO) every two years and annually by an independent certified public accounting (CPA) firm, consistently receiving "Good" ratings from the SAO and unmodified opinions from the independent CPA firm. The most recent SAO audit, covering the two years ended June 30, 2018, contained no findings and an "Excellent" rating.
- 5) Excellence in Reporting - Missouri Lottery has received the Government Finance Officers Association Certificate of Achievement for Excellence in Financial Reporting for its Comprehensive Annual Financial Report for each of the last 20 years. In order to be awarded a Certificate of Achievement, a government entity must publish an easily readable and efficiently organized comprehensive annual financial report. This report must satisfy both generally accepted accounting principles and applicable legal requirements. Comprehensive Annual Financial Reports can be found on our website.

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.075
Missouri State Lottery Commission			
Vendor Payments Increase	DI# 2860010	Original FY 2021 House Bill Section, if applicable	4.175

5c. Provide a measure(s) of the program's impact.	5d. Provide a measure(s) of the program's efficiency.
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1.) Annual Transfers to Education - FY 20 proceeds to education were \$333 million, the highest in Lottery history.

1.) In FY 19, Missouri Lottery's administrative expenses were 4.6% of sales compared to the FY 19 U.S. Lottery industry average of 6.7% and the contiguous state lotteries' average of 6.4%.

2.) State Tax Withholdings and Debt Offsets on Lottery Winnings - In FY 2020, the Lottery remitted \$3.8 million in state tax withholdings to Missouri Department of Revenue and \$807,000 in debt offsets to various state agencies from Lottery prize winnings.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Continue the strategic planning process.
 Monitor divisional operational plans.
 Monitor sales force sales goals and retailers sales goals.
 Implement creative player and retailer promotions.
 Effective and efficient use of advertising dollars.

SUPPLEMENTAL NEW DECISION ITEM

<p>Department of Revenue Missouri State Lottery Commission Lottery Prizes Increase</p>	<p align="right">House Bill Section <u>15.080</u></p>																																																								
<p>DI# 2860011</p>																																																									
<p align="right">Original FY 2021 House Bill Section, if applicable <u>4.180</u></p>																																																									
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SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue							House Bill Section	15.080
Missouri State Lottery Commission								
Lottery Prizes Increase	DI# 2860011				Original FY 2021 House Bill Section, if applicable		4.180	
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.								
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Miscellaneous Expenses					1,740,752		1,740,752	
Total EE	0		0		1,740,752		1,740,752	
Grand Total	0	0.0	0	0.0	1,740,752	0.0	1,740,752	0.0
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Total EE	0		0		1,740,752		1,740,752	
Grand Total	0	0.0	0	0.0	1,740,752	0.0	1,740,752	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.080
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5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure(s) for the program.

- 1) Lottery Retailers - 4,600 Lottery Retailers across the state received \$88.5 million in retailer commissions and incentives in FY 2020 (unaudited).
- 2) Lottery Players - \$1.0 billion paid to players in prizes in FY 2020 (unaudited).
- 3) Minority and Women-owned Businesses - \$17.3 million and \$5.9 million to minority and women-owned businesses, respectively, in FY 2020, for participation rates of 12.9% and 4.4% respectively.

5b. Provide a measure(s) of the program's quality.

- 1) Player Satisfaction - Increases in ticket sales reflect player satisfaction. FY 2020 sales exceeded \$1.5 billion (unaudited), the highest in Missouri Lottery history.
- 2) Retailer Satisfaction - 2020 retailer satisfaction survey showed an overall satisfaction with the Lottery of 4.55 out of 5, up from 2019's rating of 4.38 and 2018's rating of 4.43.
- 3) Responsible Gaming - Missouri Lottery has achieved Level 4 certification through the World Lottery Association's responsible gaming framework, the highest certification status. Only 8 US lotteries have achieved Level 4 status. Missouri Lottery also offers a self-exclusion program for players who classify themselves as problem gamblers.
- 4) Statutory audits - Missouri Lottery is audited by the State Auditor's Office (SAO) every two years and annually by an independent certified public accounting (CPA) firm, consistently receiving "Good" ratings from the SAO and unmodified opinions from the independent CPA firm. The most recent SAO audit, covering the two years ended June 30, 2018, contained no findings and an "Excellent" rating.
- 5) Excellence in Reporting - Missouri Lottery has received the Government Finance Officers Association Certificate of Achievement for Excellence in Financial Reporting for its Comprehensive Annual Financial Report for each of the last 20 years. In order to be awarded a Certificate of Achievement, a government entity must publish an easily readable and efficiently organized comprehensive annual financial report. This report must satisfy both generally accepted accounting principles and applicable legal requirements. Comprehensive Annual Financial Reports can be found on our website.

SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.080
Missouri State Lottery Commission			
Lottery Prizes Increase	DI# 2860011	Original FY 2021 House Bill Section, if applicable	4.180
5c. Provide a measure(s) of the program's impact.		5d. Provide a measure(s) of the program's efficiency.	
<p>1.) Annual Transfers to Education - FY 2020 proceeds to education were \$333 million, the highest in Lottery history.</p> <p>2.) State Tax Withholdings and Debt Offsets on Lottery Winnings - In FY 2020, the Lottery remitted \$3.8 million in state tax withholdings to Missouri Department of Revenue and \$807,000 in debt offsets to various state agencies from Lottery prize winnings.</p>		<p>1.) In FY 2019, Missouri Lottery's administrative expenses were 4.6% of sales compared to the FY 2019 U.S. Lottery industry average of 6.7% and the contiguous state lotteries' average of 6.4%.</p>	
<p>6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:</p> <p>Continue the strategic planning process. Monitor divisional operational plans. Monitor sales force sales goals and retailers sales goals. Implement creative player and retailer promotions. Effective and efficient use of advertising dollars.</p>			

SUPPLEMENTAL NEW DECISION ITEM

<p>Department of Revenue Missouri State Lottery Commission Transfer to Lottery Proceeds for Education Fund DI# 2860012</p>	House Bill Section 15.085																																																																				
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SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue							House Bill Section	15.085
Missouri State Lottery Commission								
Transfer to Lottery Proceeds for Education Fund		DI# 2860012					Original FY 2021 House Bill Section, if applicable	4.190
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.								
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Transfers					3,370,325		3,370,325	
Total TRF	0		0		3,370,325		3,370,325	
Grand Total	0	0.0	0	0.0	3,370,325	0.0	3,370,325	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
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SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.085		
Missouri State Lottery Commission					
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SUPPLEMENTAL NEW DECISION ITEM

Department of Revenue		House Bill Section	15.085
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SUPPLEMENTAL NEW DECISION ITEM

<p>Department of Transportation</p> <p>Multimodal Operations</p> <p>Federal Aviation Assistance</p>	House Bill Section <u>15.090</u>																																																														
DI# 2605001	Original FY 2021 House Bill Section, if applicable <u>4.535</u>																																																														
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<p>MODOT has applied for increased federal Airport Improvement Program (AIP) grant funding. MODOT's current appropriation for this funding is \$35 million. The department is requesting this additional \$10 million in FY 21 and another \$10 million in FY 22 to expend the anticipated additional federal funding. With this additional authority, MODOT projects that 49 airports will expend approximately \$45 million on 58 projects in FY 21 and that 43 airports will expend approximately \$45 million on 53 projects in FY 22. There are 69 public airports eligible to receive federal AIP funding. This program is authorized by Title 49 United States Code and Sections 33.546 and 305.237, RSMo.</p>																																																															
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HB 4	0	0	0	0																																																											
HB 5	0	0	0	0																																																											
<p><i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i></p>																																																															

SUPPLEMENTAL NEW DECISION ITEM

Department of Transportation						House Bill Section	15.090	
Multimodal Operations								
Federal Aviation Assistance	DI# 2605001				Original FY 2021 House Bill Section, if applicable		4.535	
3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)								
All ongoing projects and those projects anticipated to draw down funds in State Fiscal Year 2021 were reviewed to identify how much funding is anticipated to be drawn down by project in State Fiscal Year 2021 to determine the requested supplemental appropriation amount of \$10.1 million.								
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.								
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions			10,082,000				10,082,000	
Total PSD	0		10,082,000		0		10,082,000	
Grand Total	0	0.0	10,082,000	0.0	0	0.0	10,082,000	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions			10,082,000				10,082,000	
Total PSD	0		10,082,000		0		10,082,000	
Grand Total	0	0.0	10,082,000	0.0	0	0.0	10,082,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

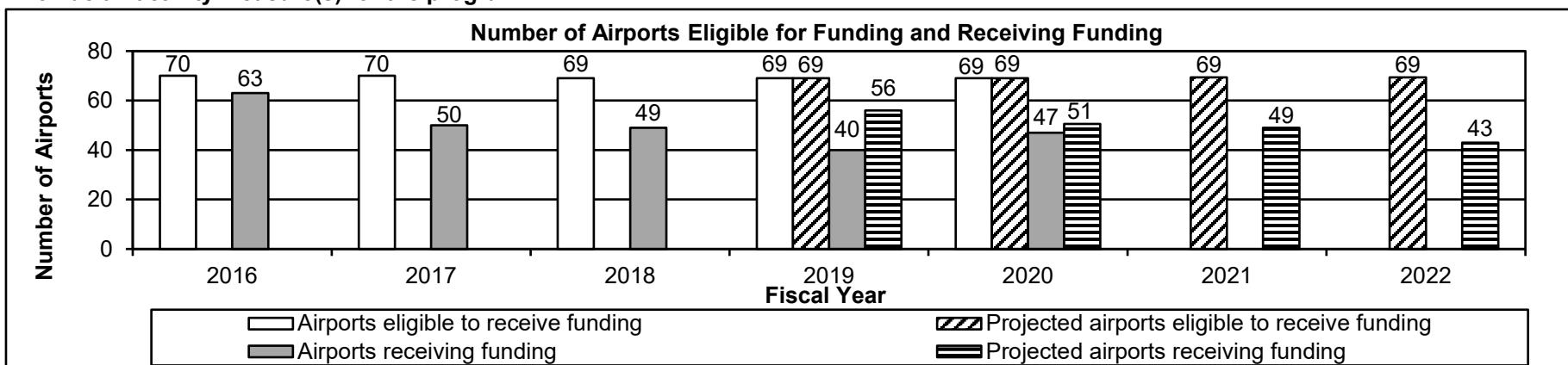
Department of Transportation
 Multimodal Operations
 Federal Aviation Assistance
 DI# 2605001

House Bill Section **15.090**

Original FY 2021 House Bill Section, if applicable **4.535**

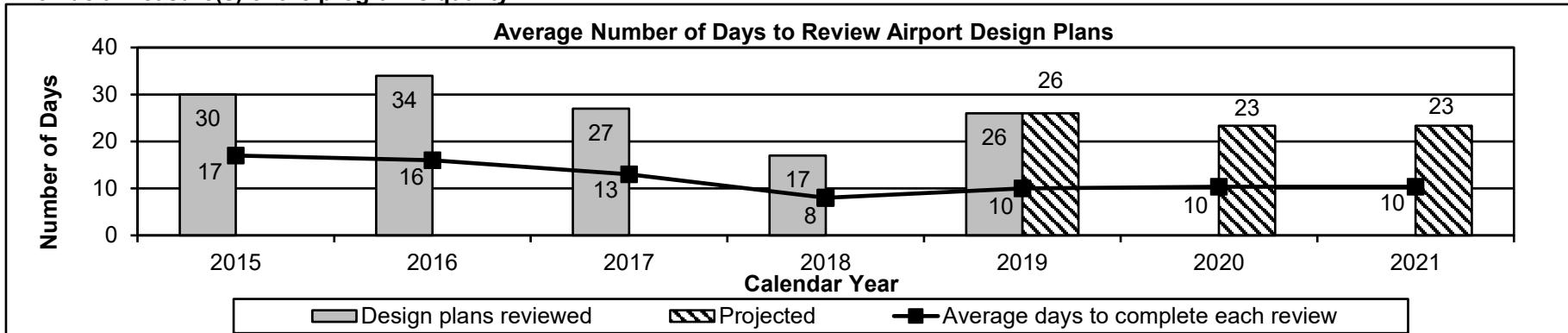
5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure(s) for the program.



The 2021 and 2022 projections for airports eligible to receive funding are based on all 69 airports that receive federal Airport Improvement Program funding through the State Block Grant Program qualifying for funding.

5b. Provide a measure(s) of the program's quality.

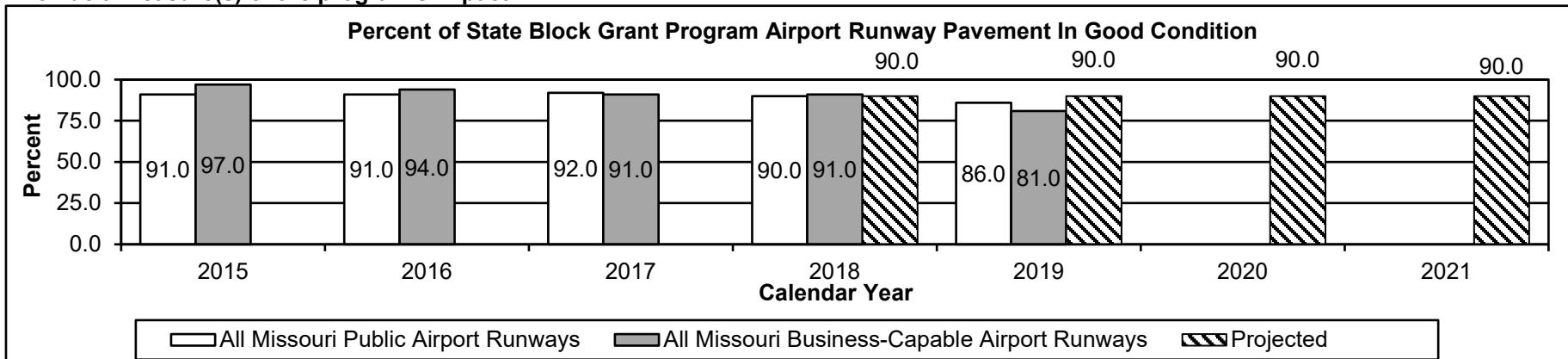


All projects using federal or state aviation funds are reviewed by MoDOT prior to bid advertisement. Grant funding for the project is provided after the bid award. The 2020 and 2021 projections are based on the average of actuals for the last three years.

SUPPLEMENTAL NEW DECISION ITEM

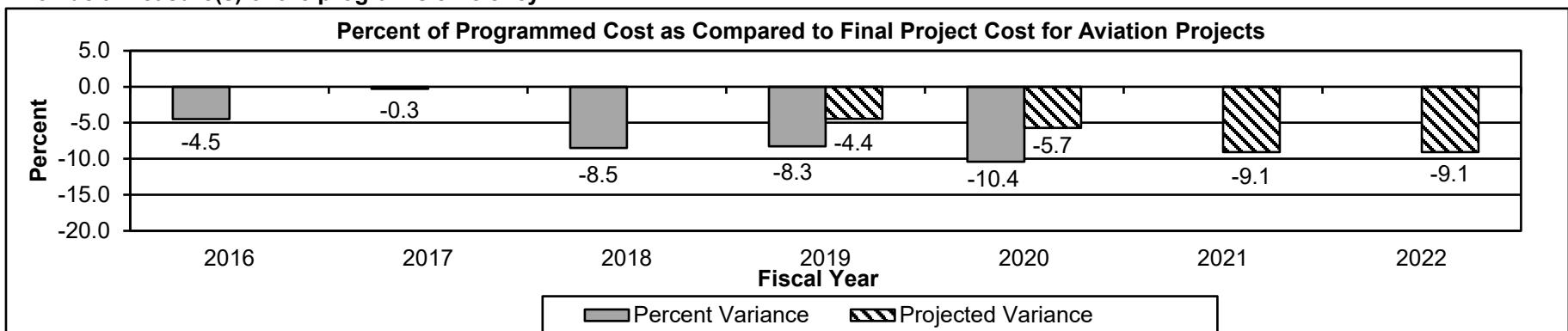
Department of Transportation		House Bill Section	15.090
Multimodal Operations			
Federal Aviation Assistance	DI# 2605001	Original FY 2021 House Bill Section, if applicable	4.535

5c. Provide a measure(s) of the program's impact.



Business-capable airport runways are runways that are at least 5,000 feet long. The projection for 2020 and 2021 was set by the department and is considered the ideal percent of pavement in good condition.

5d. Provide a measure(s) of the program's efficiency.



Aviation projects are programmed, or budgeted, in the department's Statewide Transportation Improvement Program (STIP). Once a project is awarded and work begins, final project costs can change from the original programmed cost in the STIP. Aviation project cost changes are usually caused by final quantity adjustments, federal inspections, subgrade issues or other additional construction phase service costs. The 2021 and 2022 projections are based on the average of actuals for the last three years.

SUPPLEMENTAL NEW DECISION ITEM

Department of Transportation		House Bill Section	15.090
Multimodal Operations			
Federal Aviation Assistance	DI# 2605001	Original FY 2021 House Bill Section, if applicable	4.535
6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:			
MoDOT's Aviation Section staff will continue to monitor the performance measurement targets included above when proceeding with projects. Aviation Section staff will continue to work to improve plan review times and will also work to seek federal funding for projects to improve runway pavement conditions.			

SUPPLEMENTAL NEW DECISION ITEM

Office of Administration Assigned Programs-Administrative Hearing Commission (AHC) AHC Additional Legal Staff	House Bill Section	15.095
	Original FY 2021 House Bill Section, if applicable	5.155

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request			
	GR	Federal	Other
PS	0	0	28,750
EE	0	0	20,700
PSD	0	0	0
TRF	0	0	0
Total	0	0	49,450

FTE	0.00	0.00	2.00	2.00
POSITIONS	0	0	2	2
NUMBER OF MONTHS POSITIONS ARE NEEDED:	<u>3</u>			

Est. Fringe	0	0	37,595	37,595
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Missouri Veterans' Health & Care Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Effective December 6, 2018, Missouri legalized medical marijuana through a constitutional amendment explicitly creating an appeal right to the Administrative Hearing Commission [Mo. Const. Art. XIV, §1.3(23)]. The Administrative Hearing Commission (AHC) received over 850 medical marijuana license denial appeals. At this time, the AHC currently has 1.7 court reporters to handle the current case load. The current state court reporting contract requires payment of at least \$160/day plus \$3.45 per page of transcript, and one hearing is equivalent to approximately 200 pages of transcript. Therefore, the daily price of a contract court reporter is approximately \$850. The AHC is requesting \$18,700 (E&E) from the Mo Veterans Health & Care Fund (created in Mo. Const. Art. XIV) for FY21 for 22 days of contracted court reporters.

The AHC is also requesting 2 full time staff attorneys and \$28,750 PS from the Fund in order to move all cases efficiently, as medical marijuana appeals will require the AHC to handle significant discovery disputes, draft detailed orders, hold multi-day hearings with large quantities of exhibits, and draft detailed decisions. This request also includes \$2,000 in E&E costs for Westlaw (\$765), case management licenses (\$700), phone charges (\$200), mail and supply costs (\$135), and dues (\$200).

FY 2021 Supplemental Governor's Recommendation			
	GR	Federal	Other
PS	0	0	28,750
EE	0	0	20,700
PSD	0	0	0
TRF	0	0	0
Total	0	0	49,450

FTE	0.00	0.00	0.50	0.50
POSITIONS	0	0	2	2
NUMBER OF MONTHS POSITIONS ARE NEEDED:	<u>3</u>			

Est. Fringe	0	0	16,523	16,523
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Missouri Veterans' Health & Care Fund

SUPPLEMENTAL NEW DECISION ITEM

Office of Administration Assigned Programs-Administrative Hearing Commission (AHC) AHC Additional Legal Staff	House Bill Section <u>15.095</u>
DI# 2300005	Original FY 2021 House Bill Section, if applicable <u>5.155</u>

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.

This request was calculated assuming three months of the total annual costs for court reporters and legal staff. DHSS has some full-time medical marijuana staff and is contracting for legal services in anticipation of a significant increase in its workload. Likewise, the AHC will require additional support. The AHC currently has four full-time staff attorneys who assist the AHC's four Commissioners. The AHC needs additional contracted court reporters and two additional attorneys. The AHC plans to have all staff attorneys work on medical marijuana cases in order to move cases efficiently.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Legal Counsel - 009734					28,750	2.0	28,750	2.0
Total PS				0	28,750	2.0	28,750	2.0
Supplies					1,800		1,800	
Communication Service & Supplies					200		200	
Professional Services					18,700		18,700	
Total EE				0	20,700		20,700	
Grand Total	0	0.0	0	0.0	49,450	2.0	49,450	2.0

SUPPLEMENTAL NEW DECISION ITEM

Office of Administration		House Bill Section	15.095
Assigned Programs-Administrative Hearing Commission (AHC)			
AHC Additional Legal Staff	DI# 2300005	Original FY 2021 House Bill Section, if applicable	5.155

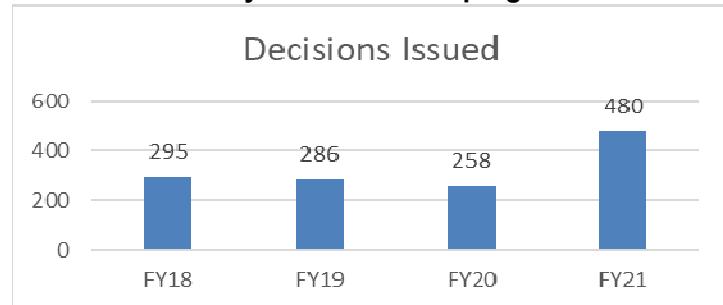
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Legal Counsel - 009734					28,750	0.5	28,750	0.5
Total PS	0	0.0	0	0.0	28,750	0.5	28,750	0.5
Supplies					1,800		1,800	
Communication Service & Supplies					200		200	
Professional Services					18,700		18,700	
Total EE	0		0		20,700		20,700	
Grand Total	0	0.0	0	0.0	49,450	0.5	49,450	0.5

SUPPLEMENTAL NEW DECISION ITEM

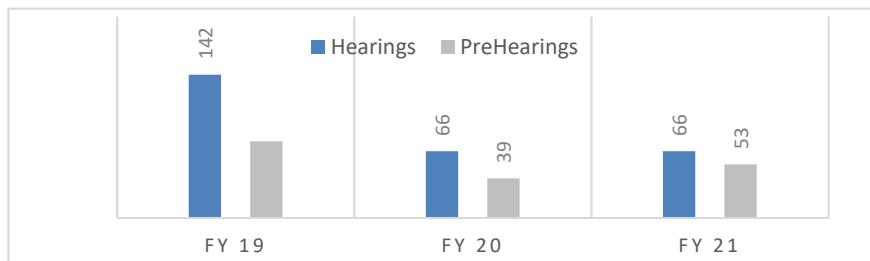
Office of Administration House Bill Section 15.095
Assigned Programs-Administrative Hearing Commission (AHC)
AHC Additional Legal Staff DI# 2300005 Original FY 2021 House Bill Section, if applicable 5.155

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

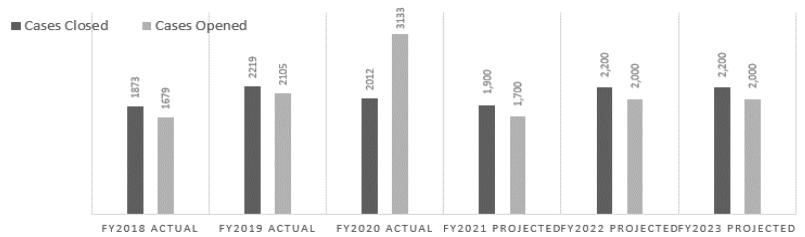
5a. Provide an activity measure of the program.



5b. Provide a measure of the program's quality.



5c. Provide a measure of the program's impact.



5d. Provide a measure of the program's efficiency.

The AHC posted a customer satisfaction survey on its website on October 3, 2017 for parties appearing before the AHC. As of August 6, 2020, we have received 2 surveys. This is an ongoing process.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

The AHC needs additional contracted court reporters and two additional attorneys. The AHC plans to have all staff attorneys work on medical marijuana cases in order to move cases efficiently.

SUPPLEMENTAL NEW DECISION ITEM

Department of Agriculture **House Bill Section** 15.100

State Fair

Sustain State Fair Operations

DI# 2350001

House Bill Section 15.100

Original FY 2021 House Bill Section, if applicable N/A

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	1,600,000	0	0	1,600,000
Total	1,600,000	0	0	1,600,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Governor is recommending to cover revenue losses impacting the State Fair Fee Fund due to the COVID-19 pandemic. The Fair has lost significant revenue due to cancellations of off-season and fair events, including event rental fees, gate admissions, camping fees, entry fees, sponsorship fees, concession and vendor fees, and advance admission and parking fees. The State Fair has been obliged to issue refunds for camping cancellations, open show & non-youth entries, admissions and parking, sponsorship fees, vendor fees, and deposits for facilities rentals for cancelled off-season events. In addition, the State Fair has had to purchase substantial amounts of janitorial and sanitizing supplies, and a large quantity of additional signage to help ensure the safety of staff and event participants.

The State Fair has a long history of meeting its operational costs with its own fee revenues (State Fair Fee Fund). However, due to COVID-19 losses, additional funding is needed to sustain State Fair operations through FY 2021 and to ensure that a full fair week can be conducted in CY 2021, including grandstand events and carnival.

SUPPLEMENTAL NEW DECISION ITEM

Department of Agriculture		House Bill Section	15.100
State Fair			
Sustain State Fair Operations	DI# 2350001	Original FY 2021 House Bill Section, if applicable	N/A

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.)

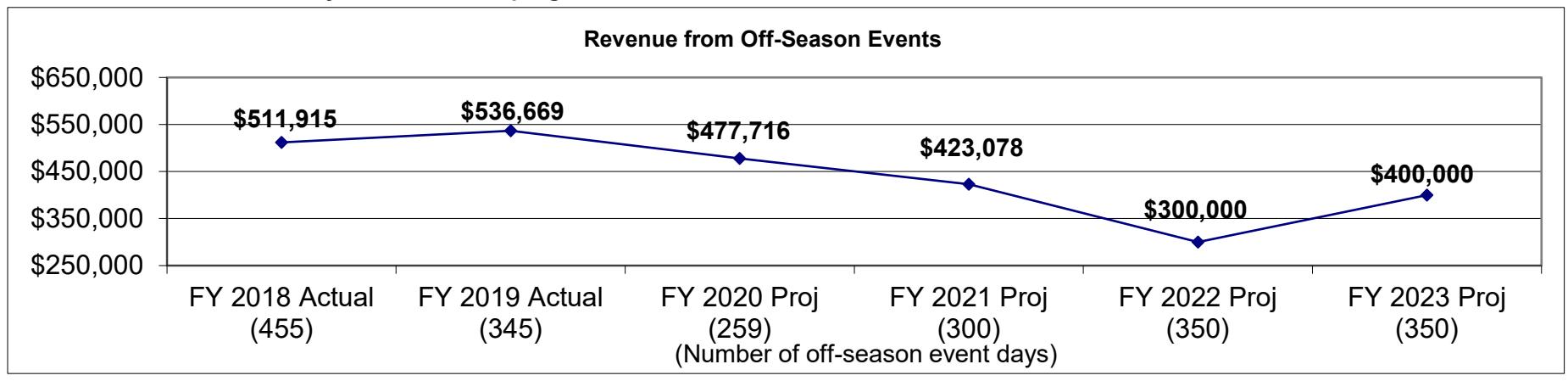
Based on an analysis of actual historical expenditures and forecasting of operational needs through FY 2021, and to ensure that a full fair week can be conducted in CY 2021, a \$1.6M GR transfer to the State Fair Fee Fund is recommended.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Transfer	1,600,000						1,600,000	
Total TRF	<u>1,600,000</u>		<u>0</u>		<u>0</u>		<u>1,600,000</u>	
Grand Total	<u>1,600,000</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>1,600,000</u>	<u>0.0</u>

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure of the program.



SUPPLEMENTAL NEW DECISION ITEM

Department of Agriculture

House Bill Section 15.100

State Fair

Sustain State Fair Operations

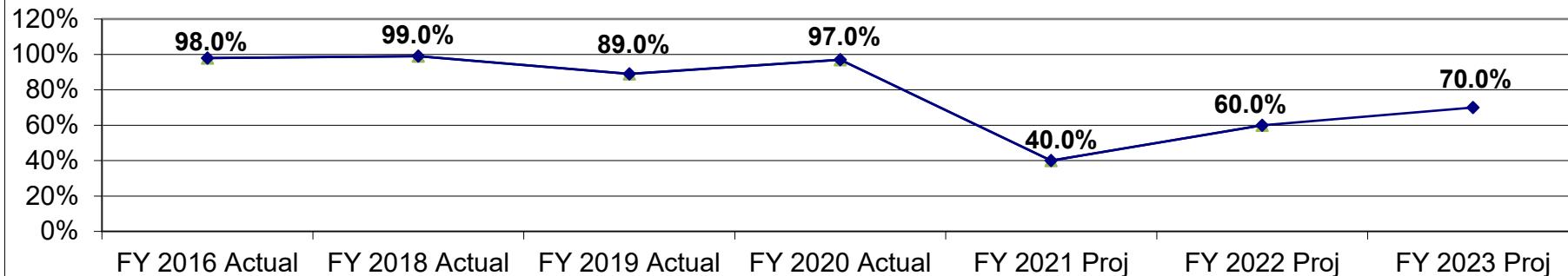
DI# 2350001

Original FY 2021 House Bill Section, if applicable

N/A

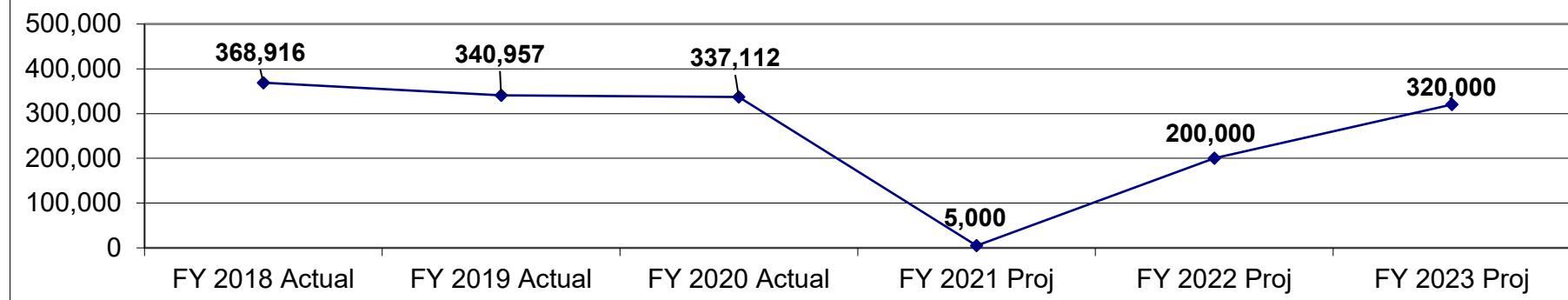
5b. Provide a measure of the program's quality.

Percentage of fairgoers who say they are likely to return next year



5c. Provide a measure of the program's impact.

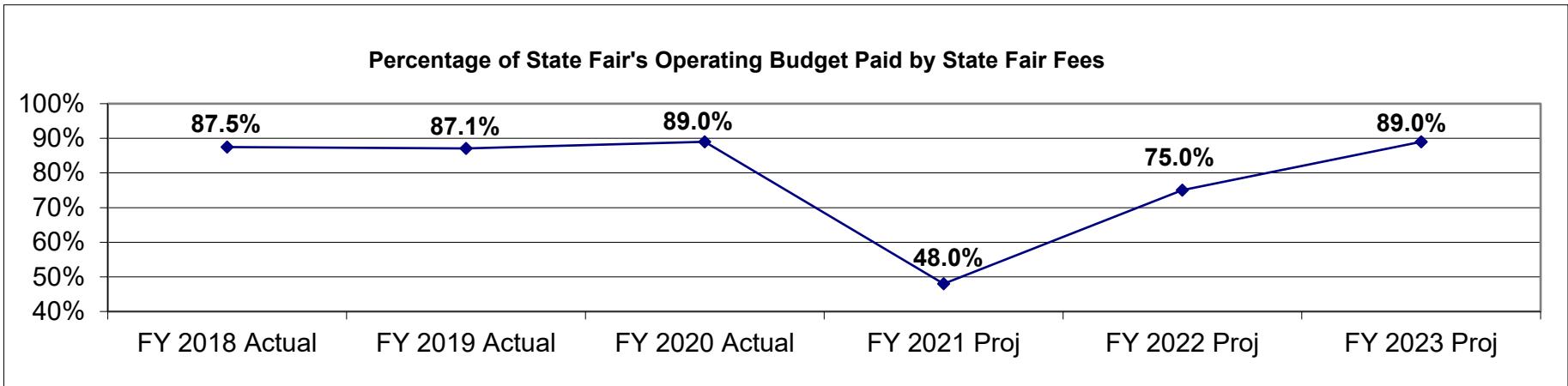
Number in attendance at the Missouri State Fair



SUPPLEMENTAL NEW DECISION ITEM

Department of Agriculture		House Bill Section	15.100
State Fair			
Sustain State Fair Operations	DI# 2350001	Original FY 2021 House Bill Section, if applicable	N/A

5d. Provide a measure of the program's efficiency.



6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Obtain additional funding to cover the State Fair's COVID-19 losses in order for it to return to its long history of meeting its operational costs with its own fee revenues.

SUPPLEMENTAL NEW DECISION ITEM

Department of Economic Development
 Business and Community Solutions Division
 MO Community Service Commission Increase DI# 2419001

House Bill Section 15.105

Original FY 2021 House Bill Section, if applicable 7.070

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	12,032	0	12,032
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	12,032	0	12,032

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 3,975 0 3,975

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	12,032	0	12,032
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	12,032	0	12,032

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 3,975 0 3,975

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

This supplemental new decision item is being requested in order to increase the federal Personal Service appropriation authority for the Missouri Community Service Commission (MCSC). In FY21, GR funding was reduced, but federal appropriation authority was not increased by a corresponding amount. This increase in federal appropriation authority will allow all 5.0 FTE to be paid entirely from federal funds. MCSC has received an increase in federal grant awards over the past two to three years; therefore, having adequate appropriation authority for all 5.0 positions is critical.

SUPPLEMENTAL NEW DECISION ITEM

Department of Economic Development
 Business and Community Solutions Division
 MO Community Service Commission Increase DI# 2419001

House Bill Section 15.105
 Original FY 2021 House Bill Section, if applicable 7.070

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

In FY21, GR PS authority was reduced by (\$36,094), but the federal appropriation was not increased by an equivalent amount. The outcome has been that the Federal PS authority is inadequate and DED has to use a portion of Business and Community Solutions GR PS funding in order to fund a portion of the MCSC Director position.

The Supplemental amount being requested is for the last 4 months of FY21 (March-June 2021) -- \$36,094/12 = 3,008 x 4 months=\$12,032

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Principal Asst Board/Commission-009878			12,032				12,032	0.0
Total PS	0	0.0	12,032	0.0	0	0.0	12,032	0.0
Grand Total	0	0.0	12,032	0.0	0	0.0	12,032	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Principal Asst Board/Commission-009878			12,032				12,032	0.0
Total PS	0	0.0	12,032	0.0	0	0.0	12,032	0.0
Grand Total	0	0.0	12,032	0.0	0	0.0	12,032	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Labor and Industrial Relations

Director and Staff

Administrative Fund Transfers for OA Services **DI# 2625001**

House Bill Section 15.110

Original FY 2021 House Bill Section, if applicable 7.810

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	140,000	140,000
Total	0	0	140,000	140,000

FTE **0.00** **0.00** **0.00** **0.00**
POSITIONS **0** **0** **0** **0**

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe **0** **0** **0** **0**

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Special Employment Security Fund

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	140,000	140,000
Total	0	0	140,000	140,000

FTE **0.00** **0.00** **0.00** **0.00**
POSITIONS **0** **0** **0** **0**

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe **0** **0** **0** **0**

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Special Employment Security Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

DOLIR is taking over vacant space at the Dunklin Street Building and plans to relocate staff from the building at 3315 West Truman Blvd to the Dunklin Building. Due to federal funding restrictions on the expenditure of federal funds for vacant space, as well as the lack of appropriation authority for this purpose, DOLIR is requesting an increase in the Special Employment Security Fund transfer appropriation to the DOLIR Administrative Fund to cover all the cost of the vacant space.

SUPPLEMENTAL NEW DECISION ITEM

Department of Labor and Industrial Relations		House Bill Section	15.110
Director and Staff			
Administrative Fund Transfers for OA Services	DI# 2625001	Original FY 2021 House Bill Section, if applicable	7.810

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

The estimated cost of the Dunklin Building's vacant space is \$267,930. The current Special Employment Security Fund transfer appropriation for FY21 is only \$85,804. The department is able to reallocate \$43,000 from the DOLIR Administrative Fund Transfers line to cover a portion of the costs, however, there will still be a shortfall of at least \$139,126 in the appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
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Transfers					140,000		140,000	
Total TRF	0		0		140,000		140,000	

Grand Total	0	0.0	0	0.0	140,000	0.0	140,000	0.0
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Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
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Transfers					140,000		140,000	
Total TRF	0		0		140,000		140,000	

Grand Total	0	0.0	0	0.0	140,000	0.0	140,000	0.0
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SUPPLEMENTAL NEW DECISION ITEM

Department of Labor and Industrial Relations		House Bill Section	15.115
Division of Employment Security			
Tax Refund Offset Program Increase	DI# 2625002	Original FY 2021 House Bill Section, if applicable	7.900

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request			
GR	Federal	Other	Total
PS	0	0	0
EE	0	0	0
PSD	0	0	5,000,000
TRF	0	0	0
Total	0	0	5,000,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

In this program, the Division of Employment Security (DES) receives intercepted income tax refunds to repay Unemployment Insurance (UI) benefit overpayments and pay delinquent employer tax. Some claimants do not voluntarily repay benefit overpayments resulting from fraud or claimant error, and some employers do not voluntarily pay unemployment contributions. The state tax refund interception program is a critical part of the Department's overall collection effort, which ranges from voluntary repayment to garnishments.

The Division will need additional appropriation authority as a result of the increased claims and benefits paid in recent months. The Division's ability to intercept additional amounts will return monies to the Unemployment Compensation Trust Fund and improve its financial condition. A low Unemployment Compensation Trust Fund balance will increase the need for the department to borrow in order to support fund activities.

FY 2021 Supplemental Governor's Recommendation			
GR	Federal	Other	Total
PS	0	0	0
EE	0	0	0
PSD	0	0	5,000,000
TRF	0	0	0
Total	0	0	5,000,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Debt Offset Escrow Fund

SUPPLEMENTAL NEW DECISION ITEM

Department of Labor and Industrial Relations		House Bill Section	15.115
Division of Employment Security			
Tax Refund Offset Program Increase	DI# 2625002	Original FY 2021 House Bill Section, if applicable	7.900

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Prior to 2016, the Division could only intercept state tax refunds for fraud overpayments. In FY 2018 when the volume of claims was relatively low, the Division used \$2.8 million of the appropriation. In FY 2020, the Division used \$4.1 million of the appropriation due to a backlog of two years of tax refund offsets.

The pandemic dramatically increased the UI claim level and the benefit amounts that the Division paid out; therefore, the Division anticipates increasing its use of the tax refund interception program. The majority of these state tax refund offsets occur from February through June each year. After the initial \$5.0 million appropriation authority is exhausted, the agency would have to hold intercepted funds until the following fiscal year.

This is a non-count appropriation.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions					5,000,000		5,000,000	
Total PSD	0		0		5,000,000		5,000,000	
Grand Total	0	0.0	0	0.0	5,000,000	0.0	5,000,000	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions					5,000,000		5,000,000	
Total PSD	0		0		5,000,000		5,000,000	
Grand Total	0	0.0	0	0.0	5,000,000	0.0	5,000,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety
 Office of the Director
 Operation Legend Spending Authority DI# 2812004

House Bill Section 15.120

Original FY 2021 House Bill Section, if applicable 8.005

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	27,113	0	27,113
EE	0	4,730	0	4,730
PSD	0	968,157	0	968,157
TRF	0	0	0	0
Total	0	1,000,000	0	1,000,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	8,958	0	8,958
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Department of Public Safety has been awarded \$1,500,000 in grant funding from the U.S. Department of Justice - Bureau of Justice Assistance to participate in Operation Legend (\$1,000,000) and continuation of funding for Operation Legend - Support for Real Time Crime Centers (\$500,000). The purpose of Operation Legend is to fund state and local law enforcement task forces in an effort to reduce violent crime by building federal cases against violent actors and their organizations. As such, applicants are required to work proactively with the U.S. Attorney's Office (USAO) and relevant federal investigative agencies to investigate and prosecute targets involved in gangs, drug trafficking, and other violent crime related issues. Funds may be used for direct salaries and fringe benefits, and training for state and local Operation Legend Task Force positions, and for necessary equipment (subject to statutory restrictions) for the Operation Legend Task Force. The recipient may not allocate more than 5% of the award amount for administrative costs.

The continuation funding furthers Operation Legend's mission and priorities by implementing state-of-the-art strategies for use by law enforcement officers in states, cities, and neighborhoods to fight, control, and prevent crime. Funds may be used for necessary equipment (subject to statutory restrictions) to support the St. Louis Metropolitan Police Department Real Time Crime Center. The recipient may not allocate more than 5% of the award amount for administrative costs.

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety		House Bill Section	15.120
Office of the Director			
Operation Legend Spending Authority	DI# 2812004	Original FY 2021 House Bill Section, if applicable	8.005

This request would increase the expenditure authority in the Justice Assistance Grant (JAG) Program Fund to allow the DPS to deposit these funds into an interest bearing account and maintain compliance with federal guidelines. Due to the uncertain timing of requests, DPS estimates they can reimburse up to \$1,000,000 of qualifying expenses for local organizations in the current fiscal year, with additional reimbursements in Fiscal Year

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

	Operation Legend Program	Support for Real Time Crime Centers	Total Request
Personal Service	18,533	8,580	27,113
General Office Supplies	3,690	920	4,610
Travel	0	120	120
Program Distributions*	498,022	470,135	968,157
Total	\$520,245	479,755	<u>1,000,000</u>

*St. Louis Metropolitan PD will purchase equipment upfront then apply to DPS for cost reimbursement.

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety		House Bill Section	15.120
Office of the Director			
Operation Legend Spending Authority	DI# 2812004	Original FY 2021 House Bill Section, if applicable	8.005

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec	Gov Rec
	GR DOLLARS	GR FTE	FED DOLLARS	FED FTE	OTHER DOLLARS	OTHER FTE	TOTAL DOLLARS	TOTAL FTE
Spec Asst Professional - 009871			27,113				27,113	0.0
Total PS	0	0.0	27,113	0.0	0	0.0	27,113	0.0
Travel In-State			120				120	
Supplies			4,610				4,610	
Total EE	0		4,730		0		4,730	
Program Distributions			968,157				968,157	
Total PSD	0		968,157		0		968,157	
Grand Total	0	0.0	1,000,000	0.0	0	0.0	1,000,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety		House Bill Section	15.125
Office of the Director			
Federal Spending Authority for Crime Victims Fund	DI# 2812003	Original FY 2021 House Bill Section, if applicable	8.045

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other		GR	Federal	Other	Total
PS	0	0	0	0	PS	0	0	0
EE	0	0	0	0	EE	0	0	0
PSD	0	0	0	0	PSD	300,000	0	300,000
TRF	0	0	0	0	TRF	0	0	0
Total	0	0	0	0	Total	0	300,000	300,000
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00
POSITIONS	0	0	0	0	POSITIONS	0	0	0
NUMBER OF MONTHS POSITIONS ARE NEEDED:					NUMBER OF MONTHS POSITIONS ARE NEEDED:			
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

* Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Crime Victims Compensation Program (CVC) may provide financial assistance to eligible crime victims, family members and dependents who have suffered harm as a result of violent crime. The program is a payer of last resort. If a victim has exhausted other sources of compensation, such as health insurance, and has no other source of reimbursement, CVC may assist with crime related costs, including wage loss, psychological counseling, funeral expenses, and support for dependent survivors. The maximum claim limit is \$25,000.

Circumstances over the past 3 years have had significant impacts on the payout levels to victims; these include:

- ♦ In August of 2018 HB 1355 expanded the eligibility requirements;
- ♦ Training and outreach was enhanced for local advocates and law enforcement statewide;
- ♦ New program management, team efficiencies and simplified processes;
- ♦ Violent crime continues to rise statewide; and
- ♦ Court costs assessed in criminal prosecutions, which accounts for 90% of the CVC fund revenues, have steadily declined.

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety	House Bill Section	15.125
Office of the Director		
Federal Spending Authority for Crime Victims Fund DI# 2812003	Original FY 2021 House Bill Section, if applicable	8.045

CVC is funded through a combination of state and federal funds. The amount of state funds dedicated to this program are considered by the federal awarding agency when determining the federal award amount for Missouri. Available state funds have steadily decreased over the last several years.

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The CVC program receives 3-year federal awards. The state appropriation for CVC is annual. Due to the nature and type of benefits paid out to victims, it is not uncommon for the life-span of a claim to go beyond a single state fiscal year. A single claim could span well over any given fiscal year, state or federal (i.e., crime counseling or other related medical procedures). Because of recent changes to the program, the rising rate of violent crime, and the reduced court fees received, a spending authority shortfall is expected.

Based on the average monthly payouts thus far this fiscal year, the number and amounts of claims pending, and incoming applications, DPS projects \$300,000 will ensure no disruption of services to victims seeking benefits from CVC.

Current Federal Authority	\$ 3,900,000
Actual Federal Authority Needed	\$ 4,200,000
Difference	\$ 300,000

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions			300,000				300,000	
Total PSD	0		300,000		0		300,000	
Grand Total	0	0.0	300,000	0.0	0	0.0	300,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Public Safety		House Bill Section	15.125
Office of the Director			
Federal Spending Authority for Crime Victims Fund	DI# 2812003	Original FY 2021 House Bill Section, if applicable	8.045

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure of the program.

NUMBER OF CLAIMS PROCESSED:

	7/1/19-6/30/20	7/1/20-9/30/20
	12 months	3 months
Total Claims Processed:	2831	661
Average/month:	236	220

5b. Provide a measure of the program's quality.

NUMBER OF CLAIMS PENDING:

	7/1/19-6/30/20	7/1/20-9/30/20
	12 months	3 months
Claims Pending	11,580	1344

5c. Provide a measure of the program's impact.

AMOUNT OF CLAIMS PAID:

	7/1/19-6/30/20	7/1/20-9/30/20
	12 months	3 months
Claims Paid:	\$6,233,866	\$2,038,043
Average/month:	\$519,489	\$678,348

5d. Provide a measure of the program's efficiency.

NUMBER OF CLAIMS OFFERED WITHIN 45 DAYS:

	7/1/19-6/30/20	7/1/20-9/30/20
	12 months	3 months
Claims Offered within 45 days	72	85

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Expansion of Tailored Education & Outreach Opportunities:

The program has created trainings customized for each component of the program and can tailor to an individual provider's knowledge level. Providers include: Medical, Funeral, Counselors, Shelters, Advocates and Law Enforcement.

Program Reorganization:

Restructuring of the program to capitalize on the strengths of the team and identify weaknesses; followed by targeted training in areas in need of improvement. Elimination of redundant and/or outdated practices.

Stakeholder Engagement:

The program has focused on strengthening relationships with key stakeholders to expand the lines & frequency of communication. Victims are the ultimate stakeholder of the program but CVC relies heavily on local law enforcement and local advocates to ensure victims are served.

SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections		House Bill Section	15.130
Division of Human Services			
Heavy Equipment/Construction Crew	DI# 2931001	Original FY 2021 House Bill Section, if applicable	9.045

1. AMOUNT OF REQUEST

	FY 2021 Supplemental Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

	FY 2021 Supplemental Governor's Recommendation			
	GR	Federal	Other	Total
PS	35,723	0	0	35,723
EE	199,836	0	0	199,836
PSD	0	0	0	0
TRF	0	0	0	0
Total	235,559	0	0	235,559

FTE 1.00 0.00 0.00 1.00
 POSITIONS 4 0 0 4

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 25,851 0 0 25,851

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

In an effort to improve the operating condition of the department's facilities, the department is requesting an additional heavy equipment/construction crew. The department currently has one crew that performs institutional construction/repair/maintenance projects that require more skill and/or equipment than institutional maintenance staff have available. Projects have included security perimeter repairs and upgrades, new and replacement sally ports, structure demolition, road grading, asphalt repair, ADA accommodations, water main repairs, storm drainage installation, conversion of space to classrooms/programming space, pipe loop repairs, storm damage repairs, among others. In February 2020 (pre-COVID-19), the wait time for non-emergency projects was nine months. Adding a second crew will reduce the wait time and allow projects critical to security, facility maintenance, and programming to be completed faster and reduce the reliance on unprogrammed or emergency funding through Office of Administration, Facilities Management, Design and Construction.

SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections		House Bill Section	15.130
Division of Human Services			
Heavy Equipment/Construction Crew	DI# 2931001	Original FY 2021 House Bill Section, if applicable	9.045

The use of an in-house, highly skilled construction/heavy equipment crew for projects within institutions allows for more projects to be completed in shorter timeframes and typically at significantly less cost than through the use of external contractors. When the department/state has to purchase construction/maintenance/repair services from external contractors there is a "security factor" that has to be included in the cost due to the additional time required for ingress/egress from the secure perimeter and tool control within the secure perimeter. This "security factor" is 20% of the labor costs of all projects. The use of an in-house crew and equipment avoids these additional costs.

The primary focus of the department's FY 2021 budget request was funding to address the physical plant conditions of many department facilities. The additional funding the department identified for reduction and reinvestment into facility maintenance was not appropriated due to the COVID-19-related budget shortfall. Improving the conditions of the department's physical infrastructure remains a high department priority and the department has identified a crew expansion as a relatively low-cost means or improving the department's facilities and maximizing the state's limited maintenance/repair/construction dollars. This request will allow for the establishment of the additional crew to begin work on critical security improvement projects.

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Class #	Class Name	FTE	Annual Cost	3-Months Cost
22TA10	Construction Project Technician	3.00	\$102,380.00	\$25,595.00
22TA30	Construction Project Supervisor	1.00	\$40,510.00	\$10,128.00
	One-Time - Scissor Lift Skyjack	0.00	\$0.00	\$65,317.00
	One-Time - E85 Bobcat	0.00	\$0.00	\$99,811.00
	One-Time - Wacker 36" Roller	0.00	\$0.00	\$25,062.00
	One-Time - Polyskid Super Asphalt Sealer	0.00	\$0.00	\$7,413.00
	One-Time - Melter Applicator	0.00	\$0.00	\$2,233.00
		4.00	\$142,890.00	\$235,559.00

SUPPLEMENTAL NEW DECISION ITEM

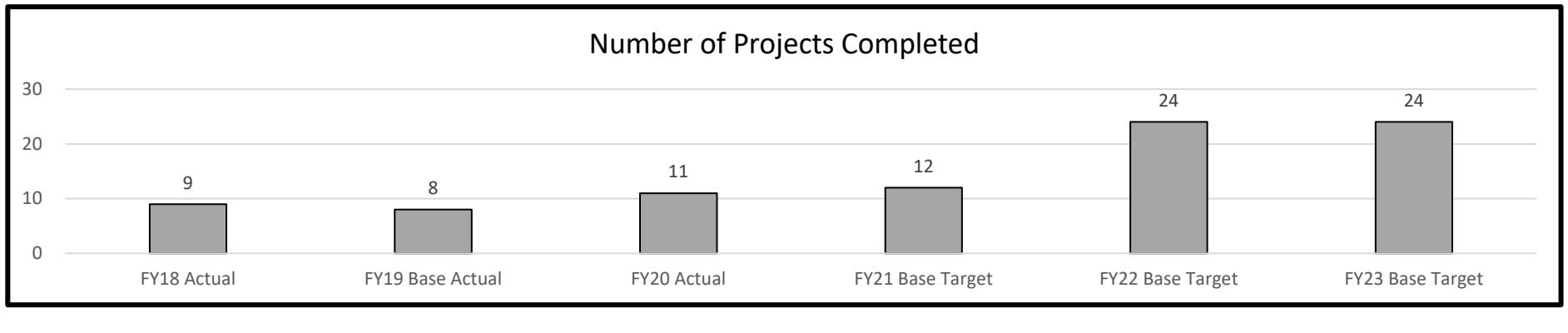
Department of Corrections		House Bill Section	15.130
Division of Human Services			
Heavy Equipment/Construction Crew	DI# 2931001	Original FY 2021 House Bill Section, if applicable	9.045

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Construction Proj Tech-22TA10	25,595	0.75					25,595	0.75
Construction Proj Spv-22TA30	10,128	0.25					10,128	0.25
Total PS	35,723	1.0	0	0.0	0	0.0	35,723	1.0
Other Equipment	199,836						199,836	
Total EE	199,836		0		0		199,836	
Grand Total	235,559	1.0	0	0.0	0	0.0	235,559	1.0

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure(s) for the program.



SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections

House Bill Section 15.130

Division of Human Services

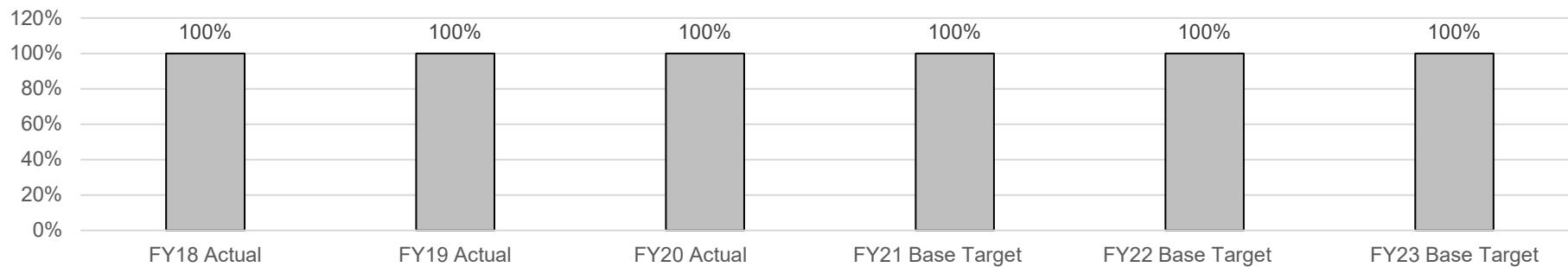
Heavy Equipment/Construction Crew

DI# 2931001

Original FY 2021 House Bill Section, if applicable 9.045

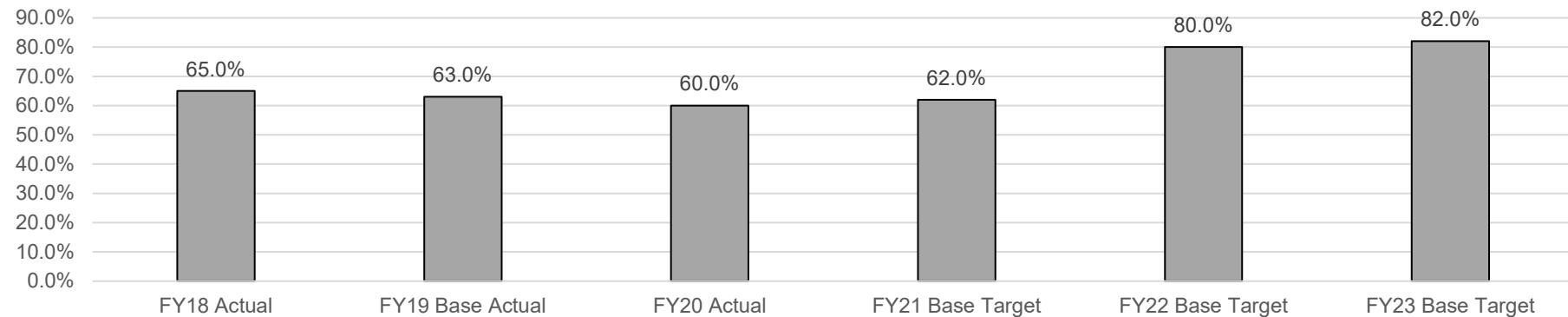
5b. Provide a measure(s) of the program's quality.

Percent of Projects Completed at Building Code and/or Manufacturer Warranty Specifications



5c. Provide a measure(s) of the program's impact.

Percent of Institutional Preventative Maintenance (PM's) Requirements Completed

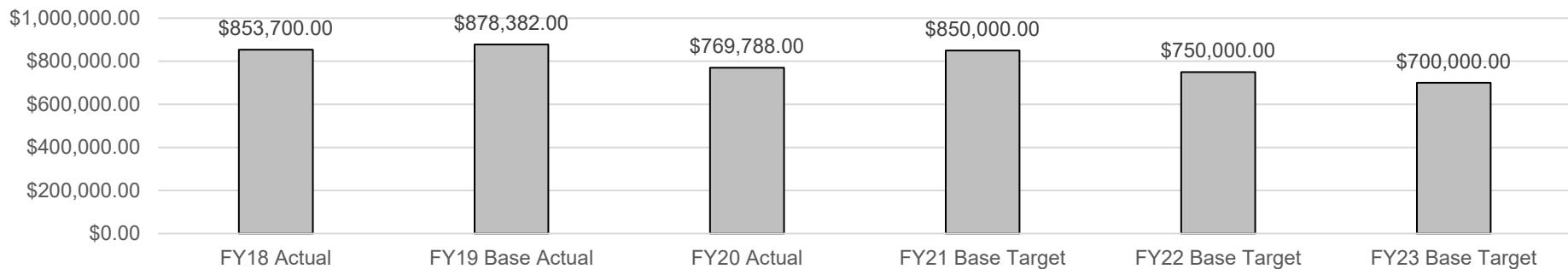


SUPPLEMENTAL NEW DECISION ITEM

Department of Corrections		House Bill Section	15.130
Division of Human Services			
Heavy Equipment/Construction Crew	DI# 2931001	Original FY 2021 House Bill Section, if applicable	9.045

5d. Provide a measure(s) of the program's efficiency.

Un-Programmed Maintenance and Repair Expenditures



6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Appropriate maintenance and repair of department facilities will provide; safer working environments for staff, more appropriate and useable offender living/programming space in order to reduce risk and recidivism, and prolong the life of vital state assets.

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section	<u>15.135</u>
Department-wide			
Overtime Compensation	<u>DI# 2650001</u>	Original FY 2021 House Bill Section	<u>10.010</u>

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				FY 2021 Supplemental Governor's Recommendation					
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	5,421,072	0	0	5,421,072	PS	5,421,072	0	0	5,421,072
EE	0	0	0	0	EE	0	0	0	0
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	5,421,072	0	0	5,421,072	Total	5,421,072	0	0	5,421,072
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0	POSITIONS	0	0	0	0
NUMBER OF MONTHS POSITIONS ARE NEEDED:				0	NUMBER OF MONTHS POSITIONS ARE NEEDED:				

Est. Fringe	1,740,164	0	0	1,740,164
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Est. Fringe	1,740,164	0	0	1,740,164
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

SB 367 (2005), allows employees providing direct client care in 24/7 state institutions to monthly request payment in lieu of compensatory time off. By statute, these requests must be paid each month. Additional funding is needed to meet these overtime pay requirements.

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section	15.135
Department-wide			
Overtime Compensation	DI# 2650001	Original FY 2021 House Bill Section	10.010

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Additional funding is needed for the payment of direct care staff overtime as required by statute. Funding is requested for projected overtime payments beyond current appropriation.

DBH Facilities	Dept Req	Gov Rec	DD Facilities	Dept Req	Gov Rec
	Amount	Amount		Amount	Amount
Fulton State Hospital	\$700,000	\$525,000	Bellefontaine Hab Center	\$110,000	\$110,000
Fulton State Hospital - SORTS	\$0	\$175,000	Higginsville Hab Center	\$110,000	\$110,000
NW MO Psych Rehab Center	\$600,000	\$600,000	Northwest Community Services	\$257,057	\$257,057
St. Louis Psych Rehab Center	\$750,000	\$750,000	Southwest Community Services	\$150,000	\$150,000
SE Missouri MHC	\$1,165,000	\$1,165,000	St. Louis DD Treatment Center	\$80,000	\$80,000
SE Missouri MHC - SORTS	\$306,000	\$306,000	Southeast Missouri Residential Services	\$356,515	\$356,515
Hawthorn Child Psych Rehab Ctr	\$90,000	\$90,000			
Center for Behavioral Medicine	\$686,500	\$686,500			
Metro St. Louis Psych Rehab Ctr	\$60,000	\$60,000			
Total:	\$4,357,500	\$4,357,500			

Division of Behavioral Health Facilities:	Dept Req	Gov Rec
Division of Developmental Disabilities Facilities:	Dept Req	Gov Rec
Total:	\$4,357,500	\$4,357,500
	\$1,063,572	\$1,063,572
	\$5,421,072	\$5,421,072

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section	15.135
Department-wide			
Overtime Compensation	DI# 2650001	Original FY 2021 House Bill Section	10.010

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS		Dept Req FED DOLLARS		Dept Req FED FTE		Dept Req OTHER DOLLARS		Dept Req OTHER FTE		Dept Req TOTAL DOLLARS		Dept Req TOTAL FTE	
	GR	DOLLARS	FED	DOLLARS	FED	FTE	OTHER	DOLLARS	OTHER	FTE	TOTAL	DOLLARS	TOTAL	FTE
Salaries & Wages		5,421,072		0		0.0		0		0.0		5,421,072		0.0
Total PS		5,421,072		0		0.0		0		0.0		5,421,072		0.0
Grand Total		5,421,072		0		0.0		0		0.0		5,421,072		0.0

Budget Object Class/Job Class	Gov Rec GR DOLLARS		Gov Rec FED DOLLARS		Gov Rec FED FTE		Gov Rec OTHER DOLLARS		Gov Rec OTHER FTE		Gov Rec TOTAL DOLLARS		Gov Rec TOTAL FTE	
	GR	DOLLARS	FED	DOLLARS	FED	FTE	OTHER	DOLLARS	OTHER	FTE	TOTAL	DOLLARS	TOTAL	FTE
Salaries & Wages		5,421,072		0		0.0		0		0.0		5,421,072		0.0
Total PS		5,421,072		0		0.0		0		0.0		5,421,072		0.0
Grand Total		5,421,072		0		0.0		0		0.0		5,421,072		0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section	<u>15.135</u>
Department-wide			
Overtime Compensation	DI# 2650001	Original FY 2021 House Bill Section	<u>10.010</u>

5a. Provide an activity measure of the program.

Number of employees earning federal, state, or holiday time

	Federal Comp	State Comp	Holiday Comp
FY 2010	5,161	5,310	5,736
FY 2011	4,761	4,932	5,378
FY 2012	4,902	4,842	5,333
FY 2013	5,035	4,961	5,408
FY 2014	5,124	5,089	5,480
FY 2015	5,111	5,093	5,334
FY 2016	5,229	5,425	5,300
FY 2017	5,300	5,424	5,150
FY 2018	5,340	5,327	5,162
FY 2019	5,287	5,310	5,022
FY 2020	5,267	5,553	5,077

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health

House Bill Section 15.135

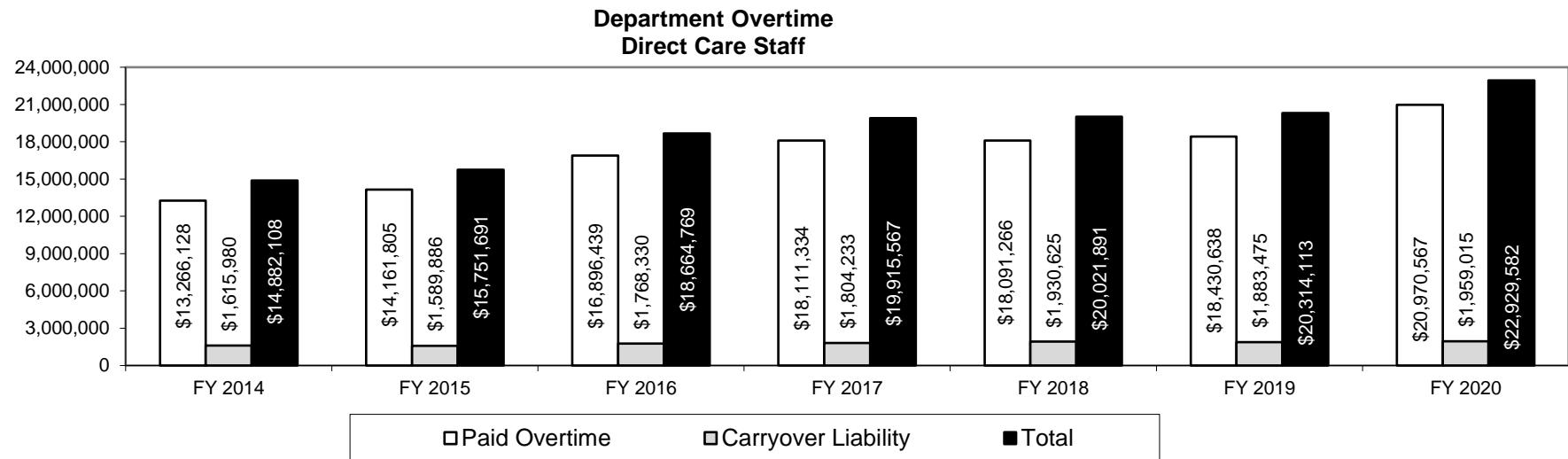
Department-wide

Overtime Compensation

DI# 2650001

Original FY 2021 House Bill Section 10.010

5a. Provide an activity measure of the program. (continued)



Note: Carryover liability is overtime compensation which was accrued in the prior fiscal year and paid in the next fiscal year.

6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

Funds will be utilized to pay overtime obligations as required by statute.

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health **House Bill Section** 15.140

Federal Cash Transfer to GR **DI#** 2650005 **Original FY 2021 House Bill Section, if applicable** 10.060

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request			
	GR	Federal	Other
Total			Total
PS	0	0	0
EE	0	0	0
PSD	0	0	0
TRF	0	0	0
Total	0	0	0

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: *Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

FY 2021 Supplemental Governor's Recommendation			
	GR	Federal	Other
Total			Total
PS	0	0	0
EE	0	0	0
PSD	0	0	0
TRF	0	37,620,093	0
Total	0	37,620,093	0

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: *Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

*Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Authority is needed for the following federal to GR transfers:

1) DMH received \$4.4M in April 2020 in direct federal provider relief funds as a Medicare provider through the Coronavirus Aid, Relief, and Economic Security (CARES) Act.

3) An additional \$9M was awarded to DMH in July 2020 by the federal Department of Health and Human Services through the CARES Act for hospitals serving a large percentage of vulnerable populations on thin margins; specialty rural hospitals; urban hospitals with certain rural Medicare designations; and hospitals in small metropolitan areas.

2) Additional authority is also needed to transfer the remaining estimated Certified Community Behavioral Health Organization (CCBHO) federal earnings to GR above the TAFP amount. The TAFP only assumed CCBHO earnings from July through November 2020. The demonstration is expected to continue through September 30, 2023.

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section	15.140
Federal Cash Transfer to GR	DI# 2650005	Original FY 2021 House Bill Section, if applicable	10.060

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.

Fund	Approp*	Description	Amount
2345	T596	Federal Provider Relief Funds	4,396,967
2345	T596	Rural Providers Safety Net	9,000,000
		Actual FY 20 Earnings	41,487,540
		Less ITSD & FY 19 Division of DD Utilization	(11,759,142)
		Transferred to GR (Aug 2020)	29,728,398
		Estimated FY 21 Earnings	43,279,010
		FY 20 Quality Incentive Payment (paid in FY 21)	(4,228,424)
		Transfer to GR	68,778,984
		FY 21 Approp Authority	(44,555,858)
0148	T047	Remaining FY 21 CCBHO Earnings	24,223,126
		Total Federal to GR Transfer Supp Need	37,620,093

*These are non-count appropriations.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Transfers			37,620,093				37,620,093	
Total TRF	0		37,620,093		0		37,620,093	
Grand Total	0	0.0	37,620,093	0.0	0	0.0	37,620,093	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section	15.145
Division of Behavioral Health			
State-Operated Hospital Provider Tax	DI# 2650003	Original FY 2021 House Bill Section, if applicable	10.205

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total		GR	Other	Total
PS	0	0	0	0	PS	0	0	0
EE	100,000	0	0	100,000	EE	100,000	0	100,000
PSD	0	0	0	0	PSD	0	0	0
TRF	0	0	0	0	TRF	0	0	0
Total	100,000	0	0	100,000	Total	100,000	0	100,000
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00
POSITIONS	0	0	0	0	POSITIONS	0	0	0
NUMBER OF MONTHS POSITIONS ARE NEEDED:	<u>N/A</u>			NUMBER OF MONTHS POSITIONS ARE NEEDED:				
<i>Est. Fringe</i>	0	0	0	0	<i>Est. Fringe</i>	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.					Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.			

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Department of Mental Health (DMH) state-operated hospitals are subject to the hospital provider tax in Missouri. An amount equal to 5.75% of the hospital's net operating revenue is assessed on each hospital delivering services in the State. The proceeds from this assessment are used to fund health care services. The amount of the tax assessed on state-operated hospitals was approximately \$13.2 million dollars in SFY 2020; however, current projections for SFY 2021 estimate expenses to total \$14.1 million. The MO HealthNet Division calculates the tax assessments for DMH state-operated hospitals on a quarterly basis.

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section	15.145
Division of Behavioral Health			
State-Operated Hospital Provider Tax	DI# 2650003	Original FY 2021 House Bill Section, if applicable	10.205

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.

DMH is required to pay the hospital federal reimbursement allowance (i.e. provider tax) per Section 208.453, RSMo. These funds are paid by DMH and used to draw down federal funds, contributing to MO HealthNet funding in the Department of Social Services (DSS). This line was core reduced by \$500,000 from \$14.5M to \$14.0M during the FY 21 budget process because there had been a consistent expenditure level below \$14M and it was projected to remain there. However, current projections estimate expenses to total approximately \$14.1M resulting in a need for additional authority of \$100,000.

HB Section		Approp	Type	Fund	Current Amt	Supp Amt
10.205 - CPS - State-Operated Hospital Provider Tax		7652	EE	0101	\$14,000,000	\$100,000

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

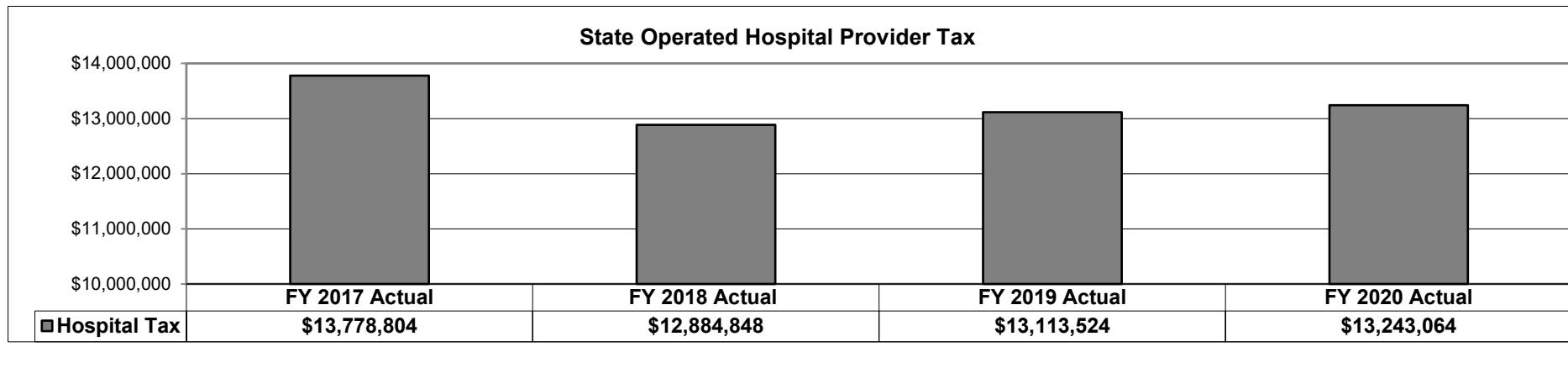
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Professional Services	100,000						100,000	
Total EE	<u>100,000</u>		0		0		<u>100,000</u>	
Grand Total	100,000	0.00	0	0.00	0	0.00	100,000	0.00
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Professional Services							0	
Total EE	<u>100,000</u>		0		0		<u>100,000</u>	
Grand Total	100,000	0.0	0	0.0	0	0.0	100,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health _____ House Bill Section 15.145
Division of Behavioral Health _____
State-Operated Hospital Provider Tax DI# 2650003 Original FY 2021 House Bill Section, if applicable 10.205

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure of the program.



SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health				House Bill Section <u>15.150 & 15.155</u>																															
Division of Behavioral Health																																			
DMH Additional Authority	DI# 2650002			Original FY 2021 House Bill Section <u>10.210 & 10.225</u>																															
1. AMOUNT OF REQUEST																																			
<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="4" style="text-align: left; font-weight: bold;">FY 2021 Supplemental Budget Request</th> </tr> <tr> <th style="text-align: center;">GR</th> <th style="text-align: center;">Federal</th> <th style="text-align: center;">Other</th> <th style="text-align: center;">Total</th> </tr> </thead> <tbody> <tr> <td>PS</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td></tr> <tr> <td>EE</td><td style="text-align: right;">0</td><td style="text-align: right;">24,010</td><td style="text-align: right;">24,010</td></tr> <tr> <td>PSD</td><td style="text-align: right;">0</td><td style="text-align: right;">3,583,631</td><td style="text-align: right;">1,271,546</td></tr> <tr> <td>TRF</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td></tr> <tr> <td>Total</td><td style="text-align: right;">0</td><td style="text-align: right;">3,607,641</td><td style="text-align: right;">1,271,546</td></tr> <tr> <td></td><td style="text-align: right;"></td><td style="text-align: right;"></td><td style="text-align: right;">4,879,187</td></tr> </tbody> </table>				FY 2021 Supplemental Budget Request				GR	Federal	Other	Total	PS	0	0	0	EE	0	24,010	24,010	PSD	0	3,583,631	1,271,546	TRF	0	0	0	Total	0	3,607,641	1,271,546				4,879,187
FY 2021 Supplemental Budget Request																																			
GR	Federal	Other	Total																																
PS	0	0	0																																
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<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="4" style="text-align: left; font-weight: bold;">FY 2021 Supplemental Governor's Recommendation</th> </tr> <tr> <th style="text-align: center;">GR</th> <th style="text-align: center;">Federal</th> <th style="text-align: center;">Other</th> <th style="text-align: center;">Total</th> </tr> </thead> <tbody> <tr> <td>PS</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td></tr> <tr> <td>EE</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td></tr> <tr> <td>PSD</td><td style="text-align: right;">0</td><td style="text-align: right;">2,375,301</td><td style="text-align: right;">1,271,546</td></tr> <tr> <td>TRF</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td></tr> <tr> <td>Total</td><td style="text-align: right;">0</td><td style="text-align: right;">2,375,301</td><td style="text-align: right;">1,271,546</td></tr> <tr> <td></td><td style="text-align: right;"></td><td style="text-align: right;"></td><td style="text-align: right;">3,646,847</td></tr> </tbody> </table>				FY 2021 Supplemental Governor's Recommendation				GR	Federal	Other	Total	PS	0	0	0	EE	0	0	0	PSD	0	2,375,301	1,271,546	TRF	0	0	0	Total	0	2,375,301	1,271,546				3,646,847
FY 2021 Supplemental Governor's Recommendation																																			
GR	Federal	Other	Total																																
PS	0	0	0																																
EE	0	0	0																																
PSD	0	2,375,301	1,271,546																																
TRF	0	0	0																																
Total	0	2,375,301	1,271,546																																
			3,646,847																																
<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">FTE</th> <th style="text-align: center;">0.00</th> <th style="text-align: center;">0.00</th> <th style="text-align: center;">0.00</th> <th style="text-align: center;">0.00</th> </tr> </thead> <tbody> <tr> <td>POSITIONS</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td><td style="text-align: right;">0</td></tr> <tr> <td>NUMBER OF MONTHS POSITIONS ARE NEEDED:</td><td colspan="4" style="text-align: right;"><u>0</u></td></tr> </tbody> </table>				FTE	0.00	0.00	0.00	0.00	POSITIONS	0	0	0	0	NUMBER OF MONTHS POSITIONS ARE NEEDED:	<u>0</u>																				
FTE	0.00	0.00	0.00	0.00																															
POSITIONS	0	0	0	0																															
NUMBER OF MONTHS POSITIONS ARE NEEDED:	<u>0</u>																																		
<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Est. Fringe</th> <th style="text-align: center;">0</th> <th style="text-align: center;">0</th> <th style="text-align: center;">0</th> <th style="text-align: center;">0</th> </tr> </thead> </table>				Est. Fringe	0	0	0	0																											
Est. Fringe	0	0	0	0																															
<p>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to Moot, Highway Patrol, and Conservation.</p>																																			
<p>Other Funds: DMH Local Tax Matching Fund</p>																																			
<p>Other Funds: DMH Local Tax Matching Fund *The difference between the Governor recommended amount and the department request is due to more timely information.</p>																																			
2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.																																			
<p>This request is to increase state and federal authority in the DMH Local Tax Matching Fund to allow the Division of Behavioral Health (DBH) to reimburse local providers for comprehensive psychiatric services provided to the local population. Additional authority is needed for the large local property tax deposits made mid-year and carryover balances that accumulate. Local funds would be used to pay the state share (34.867%) and draw down additional federal Medicaid earnings (65.133%) to purchase these mental health services.</p>																																			
<p>The department also requested additional federal authority based on its application for a Bureau of Justice Assistance Comprehensive Opioid, Stimulant, and Substance Abuse Program grant. DMH did not receive this grant; therefore, the Governor's recommendation is less than the department request.</p>																																			

SUPPLEMENTAL NEW DECISION ITEM

Department of Mental Health		House Bill Section 15.150 & 15.155
Division of Behavioral Health		
DMH Additional Authority	DI# 2650002	Original FY 2021 House Bill Section 10.210 & 10.225

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? How many positions do the requested FTE equal and for how many months do you need the supplemental funding? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

DMH Local Tax Match Authority:

Additional authority will be used to fund Comprehensive Psychiatric Rehabilitation services.

HB Section	Approp	Type	Fund	Amount
10.210 Adult Community Programs	3766	PSD	0930	\$ 1,126,546
10.210 Adult Community Programs	6678	PSD	0148	\$ 2,104,435
10.225 Youth Community Programs	3767	PSD	0930	\$ 145,000
10.225 Youth Community Programs	6679	PSD	0148	\$ 270,866
			Total:	\$ 3,646,847

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Supplies			24,010				24,010	
Total EE	0		24,010		0		24,010	
Program Distributions			3,583,631		1,271,546		4,855,177	
Total PSD	0		3,583,631		1,271,546		4,855,177	
Grand Total	0	0.0	3,607,641	0.0	1,271,546	0.0	4,879,187	0.0

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions			2,375,301		1,271,546		3,646,847	
Total PSD	0		2,375,301		1,271,546		3,646,847	
Grand Total	0	0.0	2,375,301	0.0	1,271,546	0.0	3,646,847	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Health and Senior Services	House Bill Section	15.160	
Division of Administration			
Missouri State Coroners' Training Fund Refunds	DI# 2580001	Original FY 2021 House Bill Section, if applicable	10.620
1. AMOUNT OF REQUEST			
FY 2021 Supplemental Budget Request			
	GR	Federal	Other
PS	0	0	0
EE	0	0	0
PSD	0	0	1,200
TRF	0	0	0
Total	0	0	1,200
FTE	0.00	0.00	0.00
POSITIONS	0	0	0
NUMBER OF MONTHS POSITIONS ARE NEEDED: _____			
Est. Fringe	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.			
Other Funds: Missouri State Coroners' Training Fund			
2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR			
The Missouri State Coroners' Training Fund, HB 2046 (2020), receives monies through a statutorily required \$1 fee collected for each certified copy of a death certificate issued in Missouri. This fund provides training to coroners through the Missouri Coroners' and Medical Examiners' Association. The Department of Health and Senior Services (DHSS) must be able to refund monies to citizens and other organizations in the event of duplicate or overpayment. Refund appropriations provide DHSS with a mechanism to process refunds in a timely manner.			
3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)			
The requested refund appropriation amount is based on spending in prior years from a similar fund's refund appropriation.			
This is a non-count appropriation.			
FY 2021 Supplemental Governor's Recommendation			
	GR	Federal	Other
PS	0	0	0
EE	0	0	0
PSD	0	0	1,200
TRF	0	0	0
Total	0	0	1,200
FTE	0.00	0.00	0.00
POSITIONS	0	0	0
NUMBER OF MONTHS POSITIONS ARE NEEDED: _____			
Est. Fringe	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.			
Other Funds: Missouri State Coroners' Training Fund			

SUPPLEMENTAL NEW DECISION ITEM

Department of Health and Senior Services							House Bill Section	15.160
Division of Administration								
Missouri State Coroners' Training Fund Refunds	DI# 2580001						Original FY 2021 House Bill Section, if applicable	10.620
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.								
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Refunds	0		0		1,200		1,200	
Total PSD	0		0		1,200		1,200	
Grand Total	0	0.0	0	0.0	1,200	0.0	1,200	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Refunds					1,200		1,200	
Total PSD	0		0		1,200		1,200	
Grand Total	0	0.0	0	0.0	1,200	0.0	1,200	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Health and Senior Services		House Bill Section	15.165
State Public Health Laboratory			
Food Testing and Capacity	DI# 2580002	Original FY 2021 House Bill Section, if applicable	10.765

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request					FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total		GR	Federal	Other	Total
PS	0	158,187	0	158,187	PS	0	127,938	0	127,938
EE	0	596,512	0	596,512	EE	0	499,910	0	499,910
PSD	0	0	0	0	PSD	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	754,699	0	754,699	Total	0	627,848	0	627,848
FTE	0.00	4.00	0.00	4.00	FTE	0.00	0.00	0.00	0.00
POSITIONS	0	4	0	0	POSITIONS	0	0	0	0
NUMBER OF MONTHS POSITIONS ARE NEEDED:		12			NUMBER OF MONTHS POSITIONS ARE NEEDED:				

Est. Fringe	0	108,457	0	108,457
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Est. Fringe	0	42,271	0	42,271
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*The difference between the Governor recommended amount and the department request is due to more timely information.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Missouri Department of Health and Senior Services (DHSS) State Public Health Laboratory (SPHL) has applied for FDA cooperative agreement funding to increase capability and capacity in food testing areas where the FDA has identified specific needs. The goals and outcomes of this cooperative agreement include the following:

1. SPHL, in support of Missouri's Manufactured Food Regulatory Program Standards (MFRPS), will conduct testing of targeted food samples using validated test methods and a quality management system.
2. The test results generated by SPHL will be shared with Missouri's MFRPS program and FDA partners.
3. SPHL will participate in small scale method development, method validation research, and matrix extensions as requested by the FDA.

The expected outcome from the completion of the above goals will be to protect the safety of the food supply and further increase public health. This project will strengthen and improve the collaboration of surveillance testing activities between the FDA, Missouri MFRPS, and SPHL. Thus, advancing a national integrated food safety system.

The department identified sufficient vacant FTE to fulfill the needs of this request in FY 21.

SUPPLEMENTAL NEW DECISION ITEM

Department of Health and Senior Services		House Bill Section	15.165
State Public Health Laboratory			
Food Testing and Capacity	DI# 2580002	Original FY 2021 House Bill Section, if applicable	10.765

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.

SPHL developed a budget from the guidance and activities required in the grant application. The additional testing and workload were estimated in order to determine the number of additional FTE and Expenses and Equipment needed. The nature of this work does not allow outsourcing.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Laboratory Scientist (19LB50)	0	0.0	127,938	3.0	0	0.0	127,938	3.0
Laboratory Support Assistant (19LB10)	0	0.0	30,249	1.0	0	0.0	30,249	1.0
Total PS	0	0.0	158,187	4.0	0	0.0	158,187	4.0
Out-of-state Travel	0		32,561		0		32,561	
Supplies	0		152,381		0		152,381	
Professional Development	0		75,949		0		75,949	
Maintenance & Repairs	0		87,041		0		87,041	
Other Equipment	0		248,580		0		248,580	
Total EE	0		596,512		0		596,512	
Grand Total	0	0.0	754,699	4.0	0	0.0	754,699	4.0

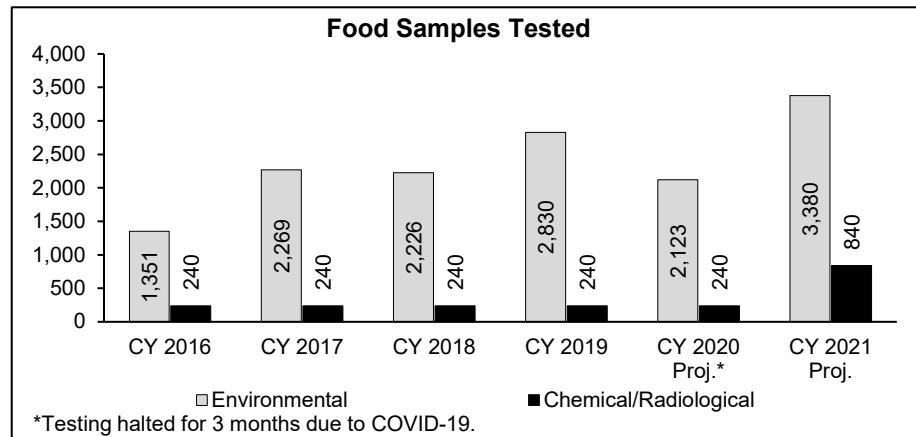
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Laboratory Scientist (19LB50)	0	0.0	127,938	0.0	0	0.0	127,938	0.0
Total PS	0	0.0	127,938	0.0	0	0.0	127,938	0.0
Out-of-state Travel	0		13,088		0		13,088	
Supplies	0		124,218		0		124,218	
Professional Development	0		67,449		0		67,449	
Maintenance & Repairs	0		103,655		0		103,655	
Other Equipment	0		191,500		0		191,500	
Total EE	0		499,910		0		499,910	
Grand Total	0	0.0	627,848	0.0	0	0.0	627,848	0.0

SUPPLEMENTAL NEW DECISION ITEM

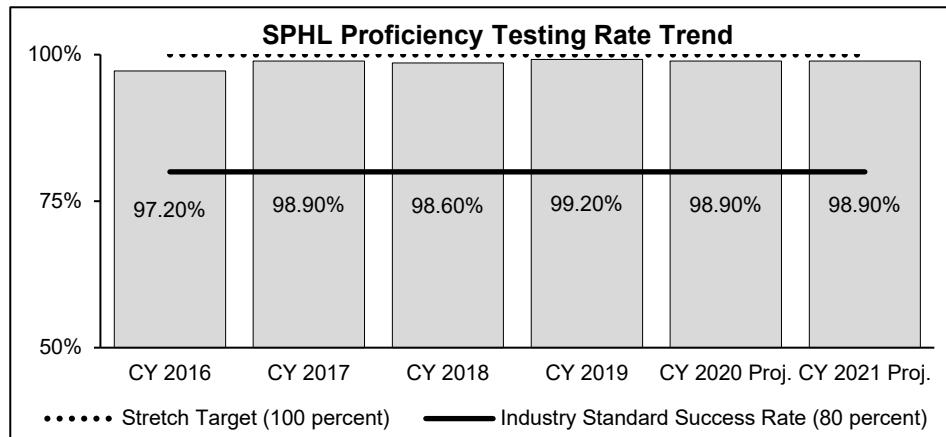
Department of Health and Senior Services	House Bill Section	15.165
State Public Health Laboratory		
Food Testing and Capacity	DI# 2580002	Original FY 2021 House Bill Section, if applicable 10.765

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

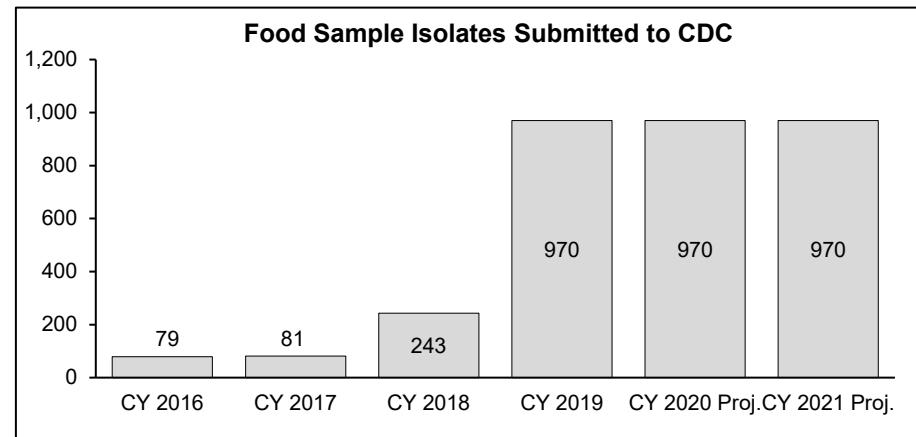
5a. Provide an activity measure of the program.



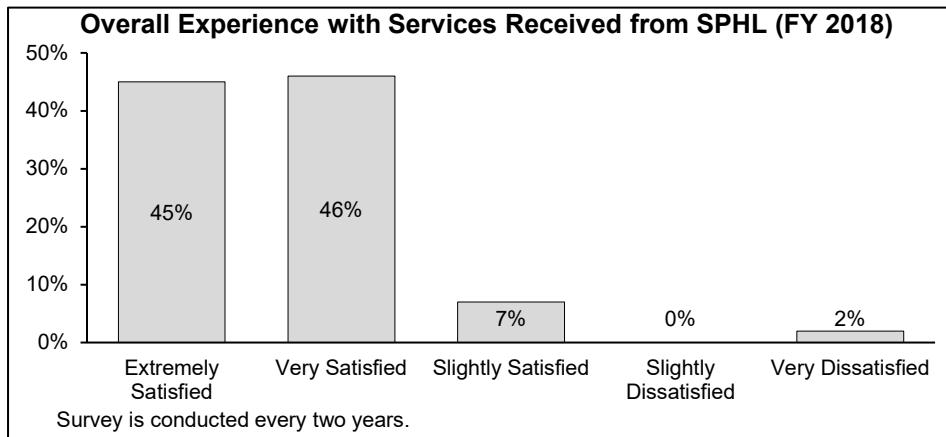
5b. Provide a measure of the program's quality.



5c. Provide a measure of the program's impact.



5d. Provide a measure of the program's efficiency.



6. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:

1. By June 30, 2021, increase the number of scientists proficient in the current methods of food testing performed.
2. By June 30, 2021, acquire equipment funded in budget year one of the grant for chemical and radiological testing of food samples.

SUPPLEMENTAL NEW DECISION ITEM

Department of Health and Senior Services		House Bill Section	15.170
Division of Senior and Disability Services			
Medicaid Home and Community Based Services	DI# 2580003	Original FY 2021 House Bill Section, if applicable	10.810

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	
	Total			
PS	0	0	0	
EE	0	0	0	
PSD	6,325,293	11,596,214	0	17,921,507
TRF	0	0	0	
Total	6,325,293	11,596,214	0	17,921,507

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	
	Total			
PS	0	0	0	
EE	0	0	0	
PSD	15,019,674	27,813,743	0	42,833,417
TRF	0	0	0	
Total	15,019,674	27,813,743	0	42,833,417

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*The difference between the Governor recommended amount and the department request is due to more recent projections.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Maintaining currently authorized Home and Community Based Services (HCBS) care plans requires supplemental funding to provide Medicaid participants with long-term care in their homes and communities. HCBS includes Medicaid State Plan Personal Care, Independent Living Waiver, Adult Day Care Waiver, and the Aged and Disabled Waiver, administered by the Division of Senior and Disability Services; the AIDS Waiver, Medically Fragile Adult Waiver, and Healthy Children and Youth Program, administered by the Division of Community and Public Health. Funding will cover anticipated costs of increased utilization, increased units of authorized service per client, and increased number of eligible individuals utilizing the program. This request is not associated with expansion of the program or eligibility requirements.

The federal authority for this program is the Social Security Act Sections 1894, 1905(a)(7), 1905(a)(24), 1915(c), and 1934; 42 CFR 440.130, 440.170(f), 440.180, 440.210 and 460. The state authority for this program is Sections 208.152, 208.168, and 192.2000.1, RSMo.

SUPPLEMENTAL NEW DECISION ITEM

Department of Health and Senior Services		House Bill Section	15.170
Division of Senior and Disability Services			
Medicaid Home and Community Based Services	DI# 2580003	Original FY 2021 House Bill Section, if applicable	10.810

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

On average, 59,836 individuals per month were served in FY 2020. In FY 2021, the year-to-date average through November 2020 was 61,515 individuals per month.

Department Request:

10.815 HCBS In-Home		
	State	Federal
FY 2021 Available Core	152,048,897	284,033,635
FY 2021 Projected Services	(146,854,139)	(274,109,917)
FY 2021 Estimated Flex	(5,194,758)	(9,923,718)
FY 2021 Shortfall	0	0

10.810 HCBS Consumer-Directed		
	State	Federal
172,810,926	322,817,967	495,628,893
(184,330,977)	(344,337,899)	(528,668,876)
5,194,758	9,923,718	15,118,476
(6,325,293)	(11,596,214)	(17,921,507)

Governor Recommended:

10.815 HCBS In-Home		
	State	Federal
FY 2021 Available Core	152,048,897	284,033,635
FY 2021 Projected Services	(150,149,664)	(280,242,160)
FY 2021 Estimated Flex	(1,899,233)	(3,791,475)
FY 2021 Shortfall	0	0

10.810 HCBS Consumer-Directed		
	State	Federal
172,810,926	322,817,967	495,628,893
(189,729,833)	(354,423,185)	(544,153,018)
1,899,233	3,791,475	5,690,708
(15,019,674)	(27,813,743)	(42,833,417)

SUPPLEMENTAL NEW DECISION ITEM

Department of Health and Senior Services	House Bill Section	15.170
Division of Senior and Disability Services		
Medicaid Home and Community Based Services	DI# 2580003	Original FY 2021 House Bill Section, if applicable

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions	6,325,293		11,596,214				17,921,507	
Total PSD	<u>6,325,293</u>		<u>11,596,214</u>		<u>0</u>		<u>17,921,507</u>	
Grand Total	6,325,293	0.0	11,596,214	0.0	0	0.0	17,921,507	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions	15,019,674		27,813,743				42,833,417	
Total PSD	<u>15,019,674</u>		<u>27,813,743</u>		<u>0</u>		<u>42,833,417</u>	
Grand Total	15,019,674	0.0	27,813,743	0.0	0	0.0	42,833,417	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services
Division of Finance and Administrative Services
Receipts and Disbursements Additional Authority **DI# 2886001**

House Bill Section 15.175

Original FY 2021 House Bill Section, if applicable 11.045

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	2,000,000	2,000,000	4,000,000
TRF	0	0	0	0
Total	0	2,000,000	2,000,000	4,000,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Premium Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

These appropriations allow the department to make timely deposits of all receipts, and then to make refunds or corrections when necessary. The increased authority for these appropriations is needed due to increased MO HealthNet caseload and COVID-19 payments.

FY 2021 Supplemental Governor's Recommendation

	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	2,000,000	2,000,000	4,000,000
TRF	0	0	0	0
Total	0	2,000,000	2,000,000	4,000,000

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Premium Fund

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	15.175
Division of Finance and Administrative Services			
Receipts and Disbursements Additional Authority	DI# 2886001	Original FY 2021 House Bill Section, if applicable	11.045

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.)

This request is based upon FY20 receipts and disbursements and current projections through December 2020, with some additional authority due to potential unknown receipts. In FY20, DSS needed additional Title XIX Federal Fund and Premium Fund authority. Additional authority is requested to prevent this from occurring in FY21 and to allow refunds to be made in a timely fashion, and from the correct fund. Examples include refunding incorrectly deposited receipts, refunding spenddown payments, and premium payments due to MO HealthNet participant overpayments, changes in coverage, and yearly income reconciliation. Because of the nature of MO HealthNet expenses, one refund could utilize a large portion of authority. These are non-count appropriations.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Refunds			2,000,000		2,000,000		4,000,000	
Total PSD	0		2,000,000		2,000,000		4,000,000	
Grand Total	0	0.0	2,000,000	0.0	2,000,000	0.0	4,000,000	0.0
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Refunds			2,000,000		2,000,000		4,000,000	
Total PSD	0		2,000,000		2,000,000		4,000,000	
Grand Total	0	0.0	2,000,000	0.0	2,000,000	0.0	4,000,000	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services

House Bill Section 15.180

Federal Cash Transfer to GR

DI# 2886014

Original FY 2021 House Bill Section, if applicable 11.060

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	130,171,300	0	130,171,300
Total	0	130,171,300	0	130,171,300

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

*Request was submitted after the initial October 1st budget submission.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Department of Social Services (DSS) has implemented a new public assistance direct cost allocation plan. This plan has allowed DSS to further maximize federal claiming resulting in additional federal earnings that are available to transfer to GR. The FY 21 TAFP budget assumed a partial transfer of these earnings. This request represents the remaining one-time federal earnings identified. Any future federal earnings will be applied quarterly to lower the state's GR need to support these public assistance programs; therefore, there will be no need for additional federal to GR transfers. The Governor's recommendation for the FY 22 DSS core reflects the ongoing GR savings from this change.

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	15.180
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Federal Cash Transfer to GR	DI# 2886014	Original FY 2021 House Bill Section, if applicable	11.060
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3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Fund Name	Fund	Net Federal Earnings as of 12/31/19	Drawn to State Treasury (3/20)	FY 21 TAFP Expd and Transfer of Earnings	Additional Estimated Earnings*	Adjustments**	Transfer to GR
Temporary Assistance for Needy Families Federal Fund	0199	62,329,945			0		62,329,945
Title XIX (Medicaid) Federal Fund	0163	5,668,247		25,326,122			30,994,369
CHIP Increased Enhancement Fund	0492	13,810,391	(35,280,998)	38,072,049			16,601,442
Department of Social Services Federal Fund	0610	83,009,995	(25,599,071)	3,980,345	(41,145,725)		20,245,544
		190,195,926	164,818,578	(60,880,069)	67,378,516	(41,145,725)	130,171,300

Drawn to State Treasury (164,818,578)

Amount of Earnings Remaining in Federal Treasury 25,377,348 (to minimize federal interest liability to the extent possible)

*Net federal earnings change on a monthly basis and are not necessarily known until after quarterly federal reports are filed. As of 4/1/20, any additional federal earnings identified from the prior quarter are being used to adjust expenditures in the following quarter. However, the CHIP Increased Enhancement Fund will continue to accrue earnings through 6/30/21. Any CHIP earnings after that time will be deposited into the Medicaid federal fund and will be used to offset GR expenditures beginning in FY 22.

**Adjustments include a \$26M federal disallowance that was repaid in FY 20 for previously claimed IV-E child welfare expenses, a \$4M one-time adjustment used in FY 20 for residential treatment facilities, and \$11M for IV-D child support federal incentives (which will double as cash flow).

This transfer will be made from non-count appropriations.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Transfers			130,171,300				130,171,300	
Total TRF	<u>0</u>		<u>130,171,300</u>		<u>0</u>		<u>130,171,300</u>	
Grand Total	<u>0</u>	<u>0.0</u>	<u>130,171,300</u>	<u>0.0</u>	<u>0</u>	<u>0.0</u>	<u>130,171,300</u>	<u>0.0</u>

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services
 Family Support Division
 Supplemental Nursing Care DI# 2886002

House Bill Section 15.185

Original FY 2021 House Bill Section, if applicable 11.165

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	156,734	0	0	156,734
TRF	0	0	0	0
Total	156,734	0	0	156,734

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

<i>Est. Fringe</i>	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	156,734	0	0	156,734
TRF	0	0	0	0
Total	156,734	0	0	156,734

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

<i>Est. Fringe</i>	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

The Supplemental Nursing Care (SNC) program provides monthly cash benefits to eligible persons in Residential Care Facilities, Assisted Living Facilities, non-MO HealthNet certified areas of Intermediate Care Facilities, and Skilled Nursing Facilities. SNC recipients must be age 65 or over, or age 21 or over and permanently and totally disabled, or blind with insufficient income to meet the basic facility charge. Supplemental nursing care recipients have medical coverage under the MO HealthNet Program.

Persons eligible for these cash benefits also receive a \$50 personal needs monthly allowance unless such needs are being met by the Department of Mental Health.

In the last quarter of FY 2020, SNC caseloads increased, resulting in increased expenditures. Funding is requested to cover the difference between current appropriation authority and projected expenditures.

Authority: Sections 208.016 & 208.030, RSMo.; Federal law: Section 1618 of the Social Security Act.

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services
 Family Support Division
 Supplemental Nursing Care DI# 2886002

House Bill Section 15.185
 Original FY 2021 House Bill Section, if applicable 11.165

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFF fiscal note? If not, explain why.)

Based on current actual and projected expenditures, it is anticipated that additional funding will be necessary to operate the Supplemental Nursing Care program for FY 2021.

Total Projected Expenditures	\$25,577,619
FY 2021 Core	\$25,420,885
FY 2021 Additional Need	(\$156,734)

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
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Program Distributions	156,734						156,734	
Total PSD	156,734		0		0		156,734	

Grand Total	156,734	0.0	0	0.0	0	0.0	156,734	0.0
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Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
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Program Distributions	156,734						156,734	
Total PSD	156,734		0		0		156,734	

Grand Total	156,734	0.0	0	0.0	0	0.0	156,734	0.0
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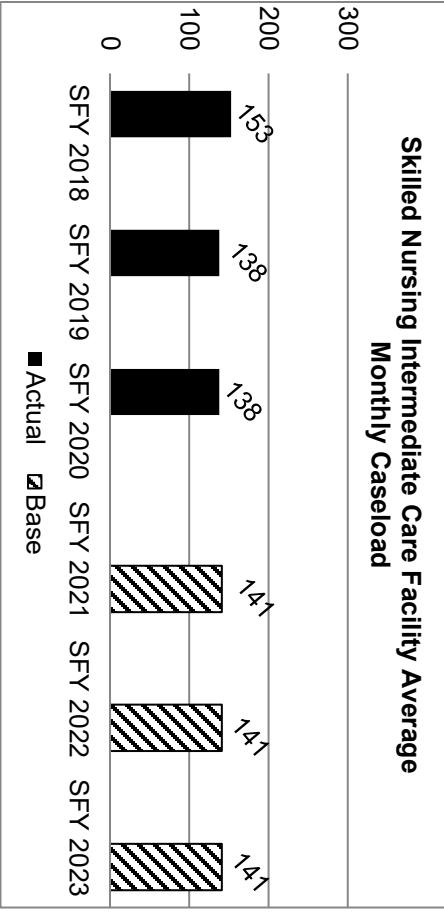
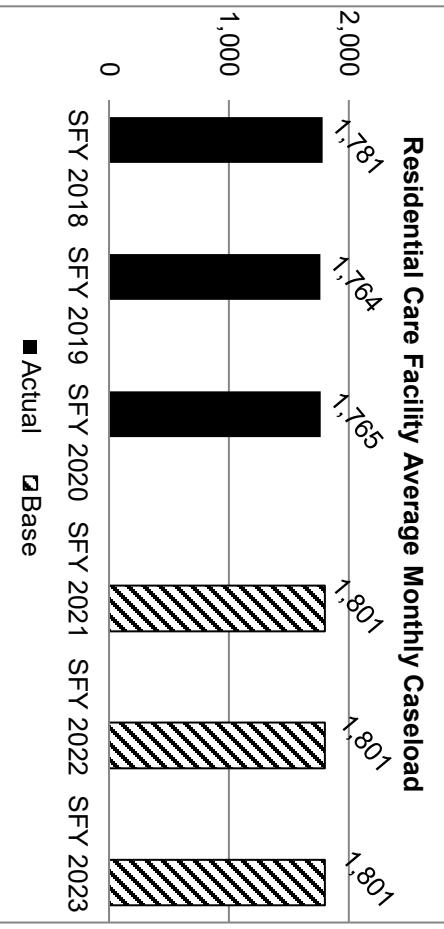
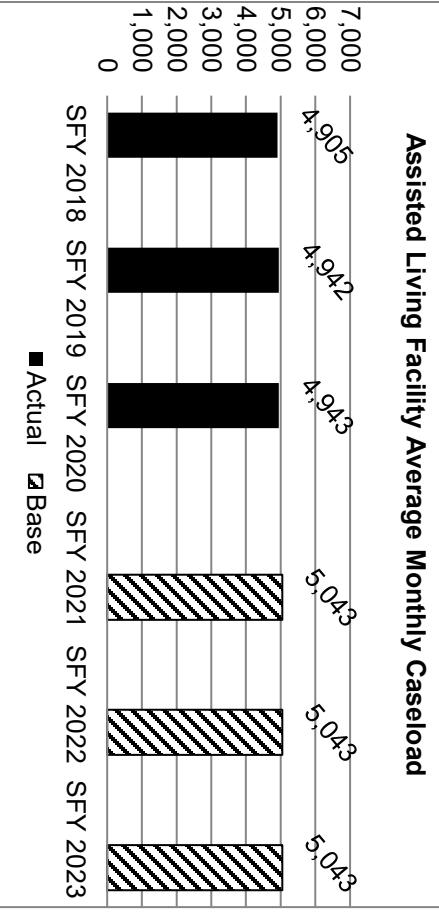
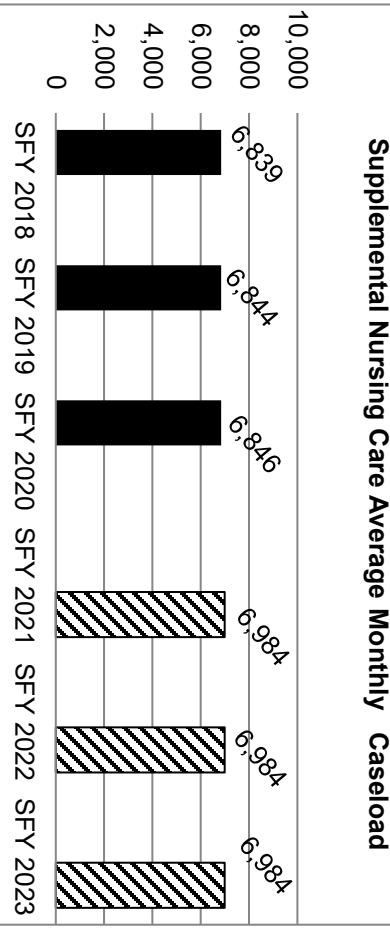
SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services _____
 Family Support Division _____
 Supplemental Nursing Care _____
 DR# 2886002 _____

House Bill Section 15.185
 Original FY 2021 House Bill Section, if applicable 11.165

5. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)

5a. Provide an activity measure of the program.



SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services

House Bill Section 15.185

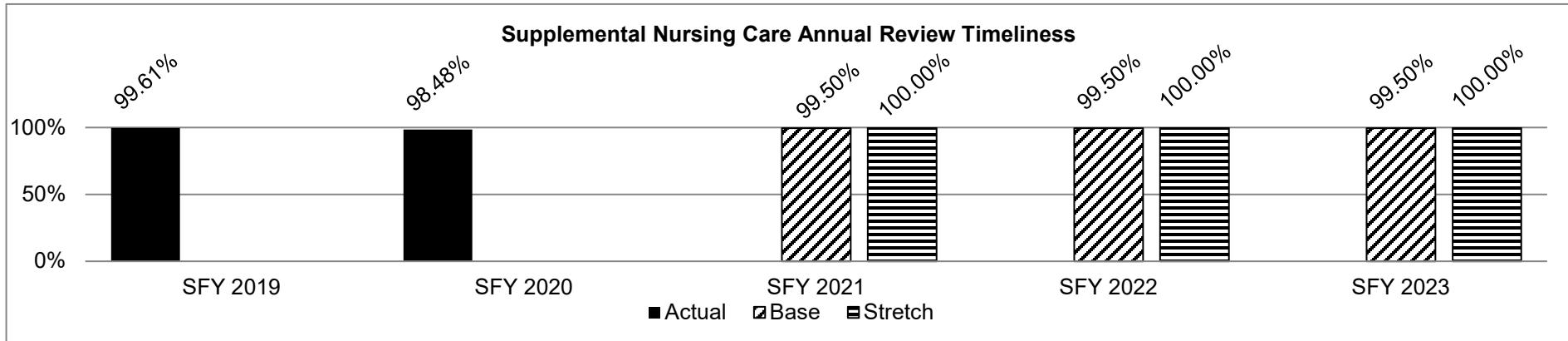
Family Support Division

Supplemental Nursing Care

DI# 2886002

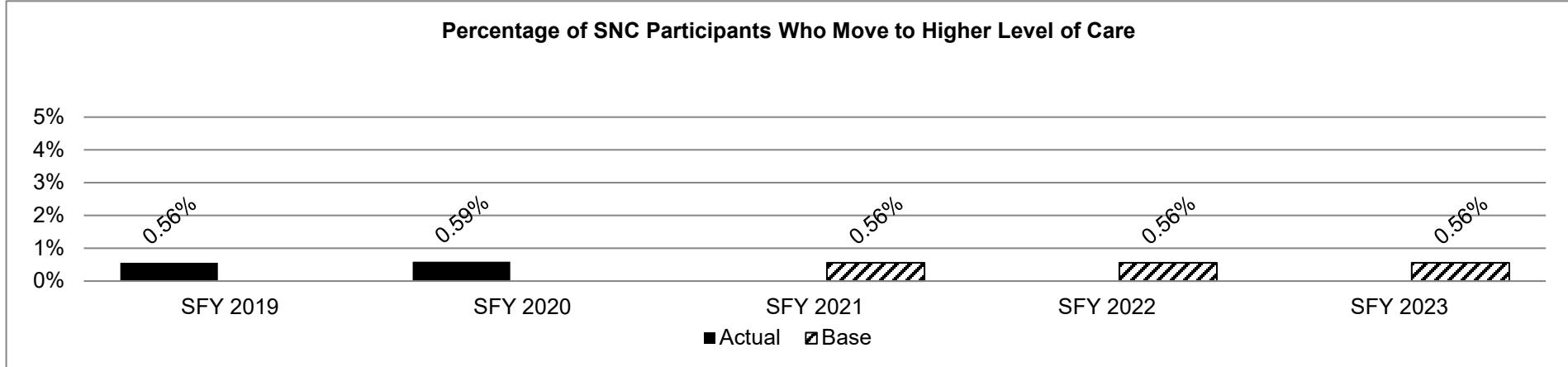
Original FY 2021 House Bill Section, if applicable 11.165

5b. Provide a measure of the program's quality.



FSD began calculating Supplemental Nursing Care Annual Review Timeliness in SFY 2019 by determining the percentage of total cases that are reviewed timely during the prior 12 months.

5c. Provide a measure of the program's impact.

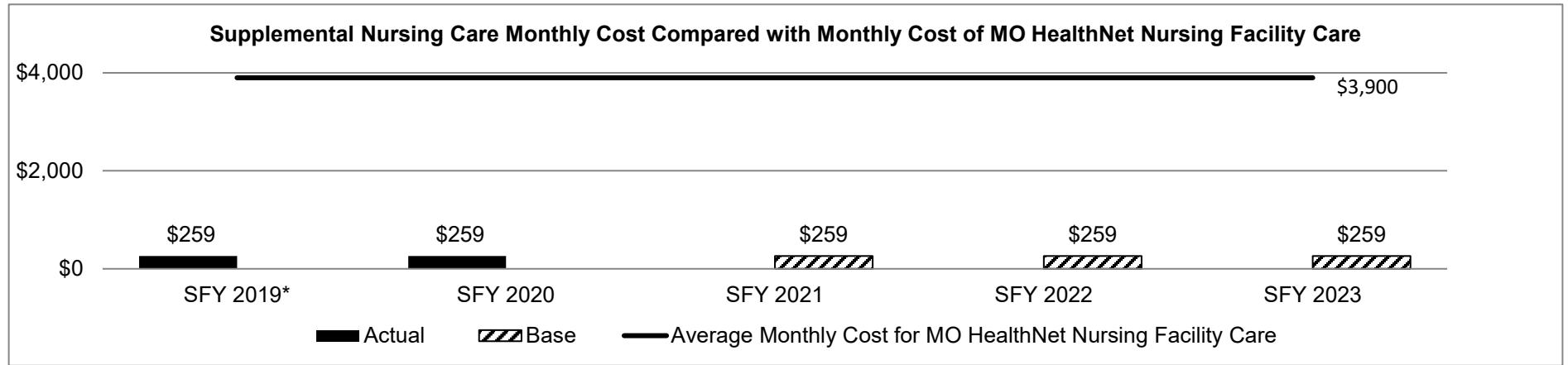


FSD began calculating the percentage of Supplemental Nursing Care participants who leave SNC facilities and then receive nursing facility care in MO HealthNet (highest level of care in a skilled nursing facility) in SFY 2019.

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	15.185
Family Support Division			
Supplemental Nursing Care	DI# 2886002	Original FY 2021 House Bill Section, if applicable	11.165

5d. Provide a measure of the program's efficiency.



FSD began calculating the average monthly vendor payment per recipient starting with SFY 2019 to compare the cost of Supplemental Nursing Care to the cost of nursing facility care in MO HealthNet (highest level of care in a skilled nursing facility).

*SFY 2019 data reflects update from prior budget year request to report more accurately.

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services MO HealthNet Division MO HealthNet Supplemental	House Bill Section <u>Various</u>
	Original FY 2021 House Bill Section, if applicable <u>Various</u>

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	227,711,611	462,276,925	0	689,988,536
TRF	0	0	31,070,381	31,070,381
Total	227,711,611	462,276,925	31,070,381	721,058,917

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Intergovernmental Transfer Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Based on actual MO HealthNet program expenditures through November 2020 and historical trends, it is anticipated that additional funding will be necessary to operate current MO HealthNet programs for Fiscal Year 2021. Programs with estimated shortfalls include Pharmacy, the Missouri Rx Plan (MO Rx), Physician Services, Premium Payments, Rehabilitation and Specialty Services, Non-Emergency Medical Transportation (NEMT), Complex Rehabilitation, Managed Care, Hospital Care, Health Homes, the Children's Health Insurance Program (CHIP), and Show-Me Healthy Babies (SMHB). Transfer authority to the Department of Mental Health (DMH) is also requested.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	222,460,772	470,833,008	3,000,000	696,293,780
TRF	0	0	4,258,658	4,258,658
Total	222,460,772	470,833,008	7,258,658	700,552,438

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Intergovernmental Transfer Fund
Health Initiatives Fund

*The difference between the Governor recommended amount and the department request is due to more recent projections.

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	Various
MO HealthNet Division			
MO HealthNet Supplemental	DI# 2886005	Original FY 2021 House Bill Section, if applicable	Various

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The tables below outline the supplemental need by program. A total lapse of \$55,614,787 (\$32,485,368 GR) from Clawback, Dental, Nursing Facilities, Home Health, Rehabilitation and Specialty Services, Hospital Care, Health Homes, and Blind Medical is being used to offset the total need.

\$4,258,658 Intergovernmental Transfer (IGT) Fund for DMH Medicaid services is a noncount appropriation.

	Department Request				Governor's Recommendation			
	GR	Federal	Other	Total	GR	Federal	Other	Total
Pharmacy	78,010,286	144,213,013	0	222,223,299	57,792,930	87,275,223	0	145,068,153
MO Rx	902,700	0	0	902,700	711,719	0	0	711,719
Physician	0	39,000,866	0	39,000,866	3,823,761	47,823,835	0	51,647,596
Dental	14,806	0	0	14,806	0	0	0	0
Premium	7,332,386	11,329,775	0	18,662,161	6,725,282	9,296,569	0	16,021,851
Nursing Facilities	0	1,301,317	0	1,301,317	0	0	0	0
Home Health	8,559	0	0	8,559	0	0	0	0
Rehab	14,315,152	0	0	14,315,152	15,766,298	0	0	15,766,298
NEMT	953,056	2,100,692	0	3,053,748	1,583,119	3,269,144	0	4,852,263
Complex Rehab	833,993	1,593,703	0	2,427,696	626,746	1,207,115	0	1,833,861
Managed Care	115,435,805	209,176,576	0	324,612,381	120,148,234	251,001,253	3,000,000	374,149,487
Hospital	0	20,356,835	0	20,356,835	0	21,249,484	0	21,249,484
Health Homes	147,109	2,222,796	0	2,369,905	267,853	2,463,101	0	2,730,954
CHIP	3,577,291	11,617,825	0	15,195,116	5,186,322	16,609,518	0	21,795,840
SMHB	6,180,468	19,363,527	0	25,543,995	9,828,508	30,637,766	0	40,466,274
IGT DMH	0	0	31,070,381	31,070,381	0	0	4,258,658	4,258,658
Total	227,711,611	462,276,925	31,070,381	721,058,917	222,460,772	470,833,008	7,258,658	700,552,438

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services				House Bill Section	Various							
MO HealthNet Division												
MO HealthNet Supplemental	DI# 2886005			Original FY 2021 House Bill Section, if applicable	Various							
Department Request					Governor's Recommendation							
Pharmacy (11.700)	GR	Federal	Other	Total	GR	Federal	Other	Total				
Asset Limit NDI (50% unfunded)	92,027	171,911	0	263,938	92,027	171,911	0	263,938				
FY 21 CTC NDI (25% unfunded)	739,859	1,382,088	0	2,121,947	739,859	1,382,088	0	2,121,947				
Specialty PMPM NDI (50% unfunded)	8,141,070	15,197,665	0	23,338,735	8,141,070	15,197,665	0	23,338,735				
Trikafta (new drug)	2,486,107	4,644,153	0	7,130,260	2,486,107	4,644,153	0	7,130,260				
Koselugo (new drug)	4,660,320	8,639,680	0	13,300,000	4,660,320	8,639,680	0	13,300,000				
Less 1 Day Claims Processing	(1,193,176)	(2,212,006)	0	(3,405,182)	(1,193,176)	(2,212,006)	0	(3,405,182)				
Caseload/Utilization/Inflation/COVID	63,084,079	116,389,522	0	179,473,601	42,866,723	59,451,732	0	102,318,455				
Total Pharmacy	78,010,286	144,213,013	0	222,223,299	57,792,930	87,275,223	0	145,068,153				
Missouri Rx Plan (11.705)					GR		Federal		Other		Total	
Less 1 Day Claims Processing	(10,729)	0	0	(10,729)	(10,729)	0	0	0	(10,729)	0	0	(10,729)
Caseload/Utilization/Inflation/COVID	913,429	0	0	913,429	722,448	0	0	0	722,448	0	0	722,448
Total Missouri Rx Plan	902,700	0	0	902,700	711,719	0	0	0	711,719	0	0	711,719
Physician Services (11.715)					GR		Federal		Other		Total	
Asset Limit NDI (50% unfunded)	0	168,992	0	168,992	90,464	168,992	0	0	259,456	0	0	259,456
FY 21 CTC NDI (25% unfunded)	0	11,996,439	0	11,996,439	16,867,423	11,996,439	0	0	28,863,862	0	0	28,863,862
Increase in DMH Specialty Services	0	11,616,899	0	11,616,899	8,740,799	12,660,294	0	0	21,401,093	0	0	21,401,093
Less 1 Day Claims Processing	0	(762,127)	0	(762,127)	(411,098)	(762,127)	0	0	(1,173,225)	0	0	(1,173,225)
Caseload/Utilization/Inflation/COVID	0	15,980,663	0	15,980,663	(21,463,827)	23,760,237	0	0	2,296,410	0	0	2,296,410
Total Physician Services	0	39,000,866	0	39,000,866	3,823,761	47,823,835	0	0	51,647,596	0	0	51,647,596
Dental (11.720)					GR		Federal		Other		Total	
Asset Limit NDI (50% unfunded)	2,195	0	0	2,195	2,195	4,101	0	0	6,296	0	0	6,296
Less 1 Day Claims Processing	(5,032)	0	0	(5,032)	(5,032)	(9,329)	0	0	(14,361)	0	0	(14,361)
Caseload/Utilization/Inflation/COVID	17,643	0	0	17,643	2,837	5,228	0	0	8,065	0	0	8,065
Total Dental	14,806	0	0	14,806	0	0	0	0	0	0	0	0

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services				House Bill Section	Various
MO HealthNet Division					
MO HealthNet Supplemental		DI# 2886005		Original FY 2021 House Bill Section, if applicable	Various
Department Request					
Premium Payments (11.725)	GR	Federal	Other	Total	
Less 1 Day Claims Processing	(244,241)	(452,793)	0	(697,034)	
Premium Increase NDI (50% unfunded)	2,841,897	5,768,533	0	8,610,430	
Caseload/Utilization/Inflation/COVID	4,734,730	6,014,035	0	10,748,765	
Total Premium Payments	7,332,386	11,329,775	0	18,662,161	
Governor's Recommendation					
	GR	Federal	Other	Total	
	(244,241)	(452,793)	0	(697,034)	
	2,841,897	5,768,533	0	8,610,430	
	4,127,626	3,980,829	0	8,108,455	
	6,725,282	9,296,569	0	16,021,851	
Nursing Facilities (11.730)					
	GR	Federal	Other	Total	
FY 21 CTC NDI (25% unfunded)	0	1,437,506	0	1,437,506	
Less 1 Day Claims Processing	0	(1,140,692)	0	(1,140,692)	
Caseload/Utilization/Inflation/COVID	0	1,004,503	0	1,004,503	
Total Nursing Facilities	0	1,301,317	0	1,301,317	
Home Health (11.730)					
	GR	Federal	Other	Total	
Asset Limit NDI (50% unfunded)	669	0	0	669	
Less 1 Day Claims Processing	(3,552)	0	0	(3,552)	
Caseload/Utilization/Inflation/COVID	11,442	0	0	11,442	
Total Home Health	8,559	0	0	8,559	
Rehabilitation (11.745)					
	GR	Federal	Other	Total	
Asset Limit NDI (50% unfunded)	117,187	0	0	117,187	
FY 21 CTC NDI (25% unfunded)	3,934,984	0	0	3,934,984	
Less 1 Day Claims Processing	(311,395)	0	0	(311,395)	
Caseload/Utilization/Inflation/COVID	10,574,376	0	0	10,574,376	
Total Rehabilitation	14,315,152	0	0	14,315,152	
NEMT (11.745)					
	GR	Federal	Other	Total	
Asset Limit NDI (50% unfunded)	8,110	15,150	0	23,260	
FY 21 CTC NDI (25% unfunded)	197,706	220,202	0	417,908	
Less 1 Day Claims Processing	(42,994)	(79,706)	0	(122,700)	
Caseload/Utilization/Inflation/COVID	790,234	1,945,046	0	2,735,280	
Total NEMT	953,056	2,100,692	0	3,053,748	

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services				House Bill Section	Various
MO HealthNet Division					
MO HealthNet Supplemental		DI# 2886005		Original FY 2021 House Bill Section, if applicable	Various
Department Request					
Complex Rehabilitation (11.755)	GR	Federal	Other	Total	
Asset Limit NDI (50% unfunded)	764	1,427	0	2,191	
FY 21 CTC NDI (25% unfunded)	8,919	0	0	8,919	
Less 1 Day Claims Processing	(12,423)	(23,030)	0	(35,453)	
Caseload/Utilization/Inflation/COVID	836,733	1,615,306	0	2,452,039	
Total Complex Rehabilitation	833,993	1,593,703	0	2,427,696	
Governor's Recommendation					
	GR	Federal	Other	Total	
	764	1,427	0	2,191	
	8,919	0	0	8,919	
	(12,423)	(23,030)	0	(35,453)	
	629,486	1,228,718	0	1,858,204	
	626,746	1,207,115	0	1,833,861	
Managed Care (11.760)					
	GR	Federal	Other	Total	
Less 1 Day Claims Processing	(1,806,461)	(3,348,965)	0	(5,155,426)	
Caseload/Utilization/Inflation/COVID	132,277,685	225,487,952	0	357,765,637	
Lapse from other programs used as flex	(15,035,419)	(12,962,411)	0	(27,997,830)	
Health Initiatives Fund Cash Balance	0	0	0	0	
Total Managed Care	115,435,805	209,176,576	0	324,612,381	
Hospital Care (11.765)					
	GR	Federal	Other	Total	
Asset Limit NDI (50% unfunded)	0	405,980	0	405,980	
Zolgensma and Roctavian (new drugs)	0	3,432,977	0	3,432,977	
FY 21 CTC NDI (25% unfunded)	0	21,105,215	0	21,105,215	
Less 1 Day Claims Processing	0	(1,063,094)	0	(1,063,094)	
Caseload/Utilization/Inflation/COVID	0	(3,524,243)	0	(3,524,243)	
Total Hospital Care	0	20,356,835	0	20,356,835	
Health Homes (11.785)					
	GR	Federal	Other	Total	
FY 21 CTC NDI (25% unfunded)	259,184	418,666	0	677,850	
Less 1 Day Claims Processing	(23,052)	(42,737)	0	(65,789)	
Caseload/Utilization/Inflation/COVID	(89,023)	1,846,867	0	1,757,844	
Total Health Homes	147,109	2,222,796	0	2,369,905	
CHIP (11.800)					
	GR	Federal	Other	Total	
FY 21 CTC NDI (25% unfunded)	1,462,356	3,500,080	0	4,962,436	
Less 1 Day Claims Processing	(81,795)	(251,654)	0	(333,449)	
Caseload/Utilization/Inflation/COVID	2,196,730	8,369,399	0	10,566,129	
Total CHIP	3,577,291	11,617,825	0	15,195,116	
Governor's Recommendation					
	GR	Federal	Other	Total	
	1,462,356	3,500,080	0	4,962,436	
	(81,795)	(251,654)	0	(333,449)	
	3,805,761	13,361,092	0	17,166,853	
	5,186,322	16,609,518	0	21,795,840	

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services **House Bill Section** Various

MO HealthNet Division

MO HealthNet Supplemental

DI# 2886005

Original FY 2021 House Bill Section, if applicable Various

	Department Request				Governor's Recommendation			
	GR	Federal	Other	Total	GR	Federal	Other	Total
SMHB (11.805)	GR	Federal	Other	Total	GR	Federal	Other	Total
FY 21 CTC NDI (25% unfunded)	456,420	1,345,182	0	1,801,602	456,420	1,345,182	0	1,801,602
Less 1 Day Claims Processing	(36,824)	(113,296)	0	(150,120)	(36,824)	(113,296)	0	(150,120)
Caseload/Utilization/Inflation/COVID	5,760,872	18,131,641	0	23,892,513	9,408,912	29,405,880	0	38,814,792
Total SMHB	6,180,468	19,363,527	0	25,543,995	9,828,508	30,637,766	0	40,466,274
IGT DMH (11.855)	GR	Federal	Other	Total	GR	Federal	Other	Total
Less 1 Day Claims Processing	0	0	(521,010)	(521,010)	0	(965,891)	(521,010)	(1,486,901)
Caseload/Utilization/Inflation/COVID	0	0	31,591,391	31,591,391	0	965,891	4,779,668	5,745,559
Total IGT DMH	0	0	31,070,381	31,070,381	0	0	4,258,658	4,258,658
TOTAL	227,711,611	462,276,925	31,070,381	721,058,917	222,460,772	470,833,008	7,258,658	700,552,438

Estimated Available Flex Used to Offset Supplemental

Program	GR	Federal	Total
Clawback	9,824,026	0	9,824,026
Dental	637,520	1,283,071	1,920,591
Nursing Facilities	15,681,579	16,184,162	31,865,741
Home Health	44,226	151,223	195,449
Rehab	0	5,510,963	5,510,963
Hospital	6,120,861	0	6,120,861
Blind Medical	177,156	0	177,156
Total	32,485,368	23,129,419	55,614,787

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services						House Bill Section	Various
MO HealthNet Division							
MO HealthNet Supplemental		DI# 2886005				Original FY 2021 House Bill Section, if applicable	Various
4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.							
Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS
Program Distributions	<u>227,711,611</u>		<u>462,276,925</u>				<u>689,988,536</u>
Total PSD	<u>227,711,611</u>		<u>462,276,925</u>		<u>0</u>		<u>689,988,536</u>
Transfers					<u>31,070,381</u>		<u>31,070,381</u>
Total TRF	<u>0</u>		<u>0</u>		<u>31,070,381</u>		<u>31,070,381</u>
Grand Total	<u>227,711,611</u>	<u>0.0</u>	<u>462,276,925</u>	<u>0.0</u>	<u>31,070,381</u>	<u>0.0</u>	<u>721,058,917</u>
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS
Program Distributions	<u>222,460,772</u>		<u>470,833,008</u>		<u>3,000,000</u>		<u>696,293,780</u>
Total PSD	<u>222,460,772</u>		<u>470,833,008</u>		<u>3,000,000</u>		<u>696,293,780</u>
Transfers					<u>4,258,658</u>		<u>4,258,658</u>
Total TRF	<u>0</u>		<u>0</u>		<u>4,258,658</u>		<u>4,258,658</u>
Grand Total	<u>222,460,772</u>	<u>0.0</u>	<u>470,833,008</u>	<u>0.0</u>	<u>7,258,658</u>	<u>0.0</u>	<u>700,552,438</u>

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	15.220
MO HealthNet Division			
Ground Ambulance Rate Increase	DI# 2886003	Original FY 2021 House Bill Section, if applicable	11.760

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	960,066	517,869	1,477,935
TRF	0	0	0	0
Total	0	960,066	517,869	1,477,935

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Ambulance Service Reimbursement Allowance Fund

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

This supplemental request is for authority to implement the ground ambulance services \$45 base rate increase across fee-for-service and managed care providers as indicated in Section 11.915 of HB 2011 for SFY 21. In the same house bill, funding was added in Section 11.745, the rehabilitation section for fee-for-service providers. However, additional authority is required to implement this rate increase for managed care organizations.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	962,623	515,312	1,477,935
TRF	0	0	0	0
Total	0	962,623	515,312	1,477,935

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Ambulance Service Reimbursement Allowance Fund

*The small difference between the Governor recommended amounts and the department request is due to a revised funding split.

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	15.220
MO HealthNet Division			
Ground Ambulance Rate Increase	DI# 2886003	Original FY 2021 House Bill Section, if applicable	11.760

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

Funding for this base rate increase was added in SFY 21 for fee-for-service providers, but additional authority is required to implement this rate increase for managed care organizations. Base rates are paid based on an established fee schedule and vary depending on the appropriate billing code. The ambulance service reimbursement allowance tax rate is sufficient to cover this increase.

32,843	FY 20 Total units
\$ 45	FY 21 Increase
\$ 1,477,935	FY 21 Est. Fiscal Impact

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR	Dept Req GR	Dept Req FED	Dept Req FED	Dept Req OTHER	Dept Req OTHER	Dept Req TOTAL	Dept Req TOTAL
	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE
Program Distributions			960,066		517,869		1,477,935	
Total PSD		0	<u>960,066</u>		<u>517,869</u>		<u>1,477,935</u>	
Grand Total	0	0.0	960,066	0.0	517,869	0.0	1,477,935	0.0
Budget Object Class/Job Class	Gov Rec GR	Gov Rec GR	Gov Rec FED	Gov Rec FED	Gov Rec OTHER	Gov Rec OTHER	Gov Rec TOTAL	Gov Rec TOTAL
	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE	DOLLARS	FTE
Program Distributions			962,623		515,312		1,477,935	
Total PSD		0	<u>962,623</u>		<u>515,312</u>		<u>1,477,935</u>	
Grand Total	0	0.0	962,623	0.0	515,312	0.0	1,477,935	0.0

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	15.220
MO HealthNet Division			
GR Pick-Up for Tobacco Shortfall	DI# 2886006	Original FY 2021 House Bill Section, if applicable	11.760

1. AMOUNT OF REQUEST

FY 2021 Supplemental Budget Request				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	16,842,079	0	0	16,842,079
TRF	0	0	0	0
Total	16,842,079	0	0	16,842,079

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2021 Supplemental Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	16,842,079	0	0	16,842,079
TRF	0	0	0	0
Total	16,842,079	0	0	16,842,079

FTE	0.00	0.00	0.00	0.00
POSITIONS	0	0	0	0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

Due to updated settlement projections from the Attorney General's Office, the state is anticipating a shortfall in tobacco settlement funds. Tobacco settlement funds are deposited into the Early Childhood Development, Education and Care Fund (ECDEC), Life Sciences Research Trust Fund (LSRTF), and the Healthy Families Trust Fund (HFTF). DSS is asking for a GR pick-up in the Managed Care section.

SUPPLEMENTAL NEW DECISION ITEM

Department of Social Services		House Bill Section	15.220
MO HealthNet Division			
GR Pick-Up for Tobacco Shortfall	DI# 2886006	Original FY 2021 House Bill Section, if applicable	11.760

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.

Due to updated settlement projections from the Attorney General's Office, the state is anticipating a shortfall in tobacco settlement funds. Per statute, the first \$35 million of the tobacco settlement is placed in the Early Childhood Development, Education and Care Fund (ECDEC), 25% is placed into the Life Sciences Research Trust Fund (LSRTF), and the remainder is placed in Healthy Families Trust Fund (HFTF). Below is the projected shortfall by fund:

	FY 21 Beginning Balance	FY 21 Estimated Revenue	FY 21 Approbs	FY 21 End of the Year Balance	FY 21 TAFP	Shortfall
Tobacco Master Settlement Agreement		118,060,923				
ECDEC	-	35,000,000				
LSRTF	-	29,515,231	33,747,036	(4,231,805)	LSRTF	27,790,024 (4,231,805)
HFTF	1	53,545,692	66,155,967	(12,610,274)	HFTF	22,883,390 (12,610,274)
Total LSRTF & HFTF	1	83,060,923	99,903,003	(16,842,079)	Managed Care Total	50,673,414 (16,842,079)

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions	16,842,079		0		0		16,842,079	
Total PSD	16,842,079		0		0		16,842,079	
Grand Total	16,842,079	0.0	0	0.0	0	0.0	16,842,079	0.0

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions	16,842,079		0		0		16,842,079	
Total PSD	16,842,079		0		0		16,842,079	
Grand Total	16,842,079	0.0	0	0.0	0	0.0	16,842,079	0.0

SUPPLEMENTAL NEW DECISION ITEM

Secretary of State
Elections
Absentee Ballots

DI# 2231001

House Bill Section 15.250

Original FY 2021 House Bill Section, if applicable 12.085

1. AMOUNT OF REQUEST

	FY 2021 Supplemental Budget Request			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	223,543	0	0	223,543
TRF	0	0	0	0
Total	223,543	0	0	223,543

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

	FY 2021 Supplemental Governor's Recommendation			
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	223,543	0	0	223,543
TRF	0	0	0	0
Total	223,543	0	0	223,543

FTE 0.00 0.00 0.00 0.00
 POSITIONS 0 0 0 0

NUMBER OF MONTHS POSITIONS ARE NEEDED: _____

Est. Fringe 0 0 0 0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

2. WHY IS THIS SUPPLEMENTAL FUNDING NEEDED? INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.

115.285, RSMo requires mailing envelopes used for returning ballots to local election authorities (LEAs) to incorporate a business reply permit so that no ballot, returned by mail, requires postage. Moreover, Missouri law requires that all fees and costs for establishing and maintaining the business reply permit be covered by the Secretary of State.

Due to the pandemic, more voters than ever will be voting using the absentee option. Additionally, with Sen. Hegeman's SB 631 (2020), the mail-in voting option was available for the November general election, thus increasing the cost incurred that needs to be reimbursed to the local election authorities. This request is for the municipal election to be held in April.

SUPPLEMENTAL NEW DECISION ITEM

Secretary of State		House Bill Section	15.250
Elections			
Absentee Ballots	DI# 2231001	Original FY 2021 House Bill Section, if applicable	12.085

3. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why.)

The Secretary of State will not have adequate funding for the last six months of FY21 to reimburse LEAs for costs associated with absentee ballots. Given there is an election in April, the SOS estimates the additional appropriation amount of \$223,543 is necessary for reimbursement to the LEAs.

Using 2017-2020 actual municipal absentee information, 221,784 was the highest count of absentee ballots requested and received over a four year span. Approximately 15% of the 2020 municipal election were walk-ins; therefore, no postage costs will be incurred. If 188,516 will be requesting and returning their absentee ballots via mail, the cost can be calculated assuming the following: Large municipalities account for approximately 60% of all absentee ballots and 40% are from smaller LEAs. The larger areas costs approximately \$0.643 apiece and the smaller LEAs are approximately \$2.00 apiece.

4. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE
Program Distributions	223,543						223,543	
Total PSD	<u>223,543</u>		<u>0</u>		<u>0</u>		<u>223,543</u>	
Grand Total	223,543	0.0	0	0.0	0	0.0	223,543	0.0

Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE
Program Distributions	223,543						223,543	
Total PSD	<u>223,543</u>		<u>0</u>		<u>0</u>		<u>223,543</u>	
Grand Total	223,543	0.0	0	0.0	0	0.0	223,543	0.0